

ACCUSED 1844 215072



# EIC 1700 SEARCH REQUEST

Today's Date . 10-7-68	
Name Sin 'Jy Lee	Priority App. Filing Date Pre ALGI. 1876
AU/Org. 1735 Examiner # 7/6060	Case/App. # 10/089,362
Bld.&Rm.# Phone \$~(335	Format for Search Results  EMAIL PAPER
If this is a Board of Appeals case, check here	
Sунопутв	<u></u>
Describe this invention in your own words.	SCIENTIFIC ASFERENCE BI: Sci 2 restrict : Cm
	Pat.& film Cffcg
Terms to avoid	
•	Allerene derivative of
Minute (2) M Cl.	· .
Please submit completed form to your EIC. SPE Signa	scure Here indicaces Rusin

Application No.: 119589,382

Amendment and Response dated July 3, 2008 Reply to Office Action of April 3, 2008

Docket No : 1698-6 PCT/US

Page 2

#### Amendments to the Claims:

This listing of claims will replace all prior versions, and figures, of claims in the application:

#### Listing of Chalus:

1. (Currently ameaded). A photoresist composition, comprising:

s fullerene derivative (A) having two or trouc malonic exter residues,

a recistion censitive acid generator (B); and

an meanic solvent:

wherein soid full expire derivative (A) is a compound, expireson by the general formula (2) below.

in which they an integer of 2 or more, and  $R_i$  and  $R_i^2$  independently represent an alkyl group, which they be identical in different from each other.

2. (Original) The photoresist composition according to Claim 1, wherein the malonic ester residue is the group expressed by the general formula (1) below.

=> fil hcap FILE 'HCAPLUS' ENTERED AT 14:52:34 ON 24 OCT 2008 USE IS SUBJECT TO THE TERMS OF YOUR STN CUSTOMER AGREEMENT. PLEASE SEE "HELP USAGETERMS" FOR DETAILS. COPYRIGHT (C) 2008 AMERICAN CHEMICAL SOCIETY (ACS) Copyright of the articles to which records in this database refer is held by the publishers listed in the PUBLISHER (PB) field (available for records published or updated in Chemical Abstracts after December 26, 1996), unless otherwise indicated in the original publications. The CA Lexicon is the copyrighted intellectual property of the the American Chemical Society and is provided to assist you in searching databases on STN. Any dissemination, distribution, copying, or storing of this information, without the prior written consent of CAS, is strictly prohibited.

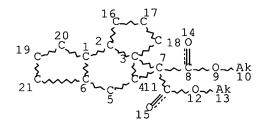
FILE COVERS 1907 - 24 Oct 2008 VOL 149 ISS 18 FILE LAST UPDATED: 23 Oct 2008 (20081023/ED)

HCAplus now includes complete International Patent Classification (IPC) reclassification data for the second quarter of 2008.

New CAS Information Use Policies, enter HELP USAGETERMS for details.

This file contains CAS Registry Numbers for easy and accurate substance identification.

STR



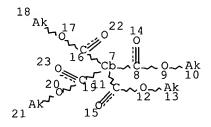
NODE ATTRIBUTES:

CONNECT IS E1 RC AT 10
CONNECT IS E1 RC AT 13
DEFAULT MLEVEL IS ATOM
GGCAT IS SAT AT 10
GGCAT IS SAT AT 13
DEFAULT ECLEVEL IS LIMITED

GRAPH ATTRIBUTES:

RING(S) ARE ISOLATED OR EMBEDDED NUMBER OF NODES IS 21

STEREO ATTRIBUTES: NONE L5 STR



#### NODE ATTRIBUTES:

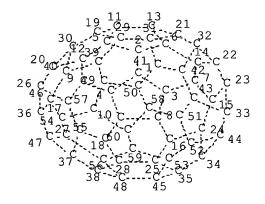
CONNECT IS E1 RC AT 10 CONNECT IS E1 RC AT 13 CONNECT IS E1 RC AT 18 CONNECT IS E1 RC AT 21 DEFAULT MLEVEL IS ATOM GGCAT IS PCY AT GGCAT IS SAT AT 10 IS SAT GGCAT AT13 GGCAT IS SAT AT18 IS SAT AT 21 GGCAT DEFAULT ECLEVEL IS LIMITED ECOUNT IS M50 C AT 7

### GRAPH ATTRIBUTES:

RING(S) ARE ISOLATED OR EMBEDDED NUMBER OF NODES IS 17

#### STEREO ATTRIBUTES: NONE

L7 179 SEA FILE=REGISTRY SSS FUL L5 AND L1 L8 129 SEA FILE=CAPLUS ABB=ON PLU=ON L7 L13 STR



NODE ATTRIBUTES: DEFAULT MLEVEL IS ATOM

DEFAULT ECLEVEL IS LIMITED

#### GRAPH ATTRIBUTES:

RING(S) ARE ISOLATED OR EMBEDDED NUMBER OF NODES IS 60

STEREO ATTRIBUTES: NONE L15 STR

```
NODE ATTRIBUTES:
       IS RC
                 AΤ
NSPEC
CONNECT IS E1 RC AT
                      1
CONNECT IS E1 RC AT
DEFAULT MLEVEL IS ATOM
       IS SAT
              AΤ
GGCAT
                    1
       IS SAT
              AT
                    7
GGCAT
DEFAULT ECLEVEL IS LIMITED
GRAPH ATTRIBUTES:
RING(S) ARE ISOLATED OR EMBEDDED
NUMBER OF NODES IS
STEREO ATTRIBUTES: NONE
             5 SEA FILE=REGISTRY SSS FUL L15 AND L13
T.17
             1 SEA FILE=CAPLUS ABB=ON PLU=ON L17
L20
            130 SEA FILE=CAPLUS ABB=ON PLU=ON L20 OR L8
L21
          48167 SEA FILE=HCAPLUS ABB=ON PLU=ON PHOTORESISTS+PFT,NT/CT
L22
          68681 SEA FILE=HCAPLUS ABB=ON PLU=ON L22 OR ?PHOTORESIST?
L23
             12 SEA FILE=REGISTRY ABB=ON PLU=ON (109-92-2/BI OR 1116-76-3/BI
L25
                OR 144317-44-2/BI OR 357164-86-4/BI OR 66003-78-9/BI OR
                722495-59-2/BI OR 72317-19-2/BI OR 862714-07-6/BI OR 862714-08-
                7/BI OR 862714-09-8/BI OR 862714-10-1/BI OR 862714-11-2/BI)
             1 SEA FILE=HCAPLUS ABB=ON PLU=ON L21 AND L23
L26
           130 SEA FILE=HCAPLUS ABB=ON PLU=ON L20 OR L8
L27
            7 SEA FILE=REGISTRY ABB=ON PLU=ON L25 NOT (L7 OR L17)
L28
             1 SEA FILE=HCAPLUS ABB=ON PLU=ON L27 AND L28
L29
            9 SEA FILE=HCAPLUS ABB=ON PLU=ON ?MALONIC?(3A)?ESTER? AND L27
L30
            9 SEA FILE=HCAPLUS ABB=ON PLU=ON L26 OR L29 OR L30
L31
            1 SEA FILE=HCAPLUS ABB=ON PLU=ON L27 AND ?RESIST?
L32
            9 SEA FILE=HCAPLUS ABB=ON PLU=ON L31 OR L32
L33
           12 SEA FILE=HCAPLUS ABB=ON PLU=ON L27 AND P/DT
L36
            19 SEA FILE=HCAPLUS ABB=ON PLU=ON L33 OR L36
L39
           118 SEA FILE=HCAPLUS ABB=ON PLU=ON L27 NOT L36
L40
            105 SEA FILE=HCAPLUS ABB=ON PLU=ON L40 AND PY<2005
L41
              6 SEA FILE=HCAPLUS ABB=ON PLU=ON L41 AND (?RESIST? OR ?RESIN?
L42
                OR ?FILM?)
             25 SEA FILE=HCAPLUS ABB=ON PLU=ON L39 OR L42
L43
=> d 143 ibib abs hitind hitstr tot
L43 ANSWER 1 OF 25 HCAPLUS COPYRIGHT 2008 ACS on SAN
ACCESSION NUMBER:
                         2006:375634 HCAPLUS Full text
                         145:62535
DOCUMENT NUMBER:
                         Electrophoresis of electrostatically assembled
TITLE:
                         fullerene-porphyrin conjugates
                         Hartnagel, Uwe; Ballinot, Domenico; Jux, Norbert;
AUTHOR(S):
                         Hirsch, Andreas
                         Institut fuer Organische Chemie, Universitaet
CORPORATE SOURCE:
                         Erlangen-Nuernberg, Erlangen, 91054, Germany
                         Organic & Biomolecular Chemistry (2006), 4(9),
SOURCE:
                         1785-1795
                         CODEN: OBCRAK; ISSN: 1477-0520
                         Royal Society of Chemistry
PUBLISHER:
                         Journa 1/
DOCUMENT TYPE:
                         Engligh
LANGUAGE:
                         CASREACT 145:62535
OTHER SOURCE(S):
     The formation of electrostatically coupled assemblies of a series of anionic
     dendritic fullerene derivs. and cationic porphyrins in buffered aqueous media
```

was studied with gel electrophoresis. Of central interest in these investigations was the variation of the amount of charge carried by the mols., their size, shape and self-aggregation. Ferric cytochrome c and a rigid zinc porphyrin served as octacationic species. The two new dendritic anionic fullerene derivs. were synthesized. The formation of electrostatic complexes of the fullerene polyelectrolytes with the octacationic species was clearly evident in the gel electrophoresis experiment The electrophoresis expts. confirmed previous results obtained with other techniques on a qual. level and gave new insights into aggregation phenomena.

CC 22-13 (Physical Organic Chemistry)
 Section cross-reference(s): 7, 66

IT 112-35-6, Triethylene glycol monomethyl ether 141-82-2, Malonic acid, reactions 59854-12-5, tert-Butyl 4-hydroxybutyrate RL: RCT (Reactant); RACT (Reactant or reagent)

(esterification; electrophoresis of electrostatically assembled fullerene-porphyrin conjugates)

IT 890843-37-5

RL: PEP (Physical, engineering or chemical process); PYP (Physical process); PROC (Process)

(fullerene core of dendritic polyamide, electrostatic complexation; electrophoresis of electrostatically assembled fullerene-porphyrin conjugates)

IT 890843-37-5

RL: PEP (Physical, engineering or chemical process); PYP (Physical process); PROC (Process)

(fullerene core of dendritic polyamide, electrostatic complexation; electrophoresis of electrostatically assembled fullerene-porphyrin conjugates)

RN 890843-37-5 HCAPLUS

CN 3'H,3''H,3'''H,3''''H,3''''H
Hexacyclopropa[1,9:16,17:21,40:30,31:44,45:52,60][5,6]fullerene-C60-Ih
3',3',3'',3''',3''',3'''',3'''',3''''',3'''''-decacarboxylic acid,

3'''''',3'''''-bis[[(3-carboxypropyl)amino]carbonyl]-,

3',3',3'',3''',3''',3'''',3'''',3''''',3'''''-decadodecyl ester (9CI)

(CA INDEX NAME)

\*\*\* STRUCTURE DIAGRAM IS NOT AVAILABLE \*\*\*

REFERENCE COUNT: 46 THERE ARE 46 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L43 ANSWER 2 OF 25 HCAPLUS COPYRIGHT 2008 ACS on STN ACCESSION NUMBER: 2005:1045078 HCAPLUS Full-text

DOCUMENT NUMBER: 143:346938

TITLE: Methanofullerene compounds, and process for the

preparation thereof

INVENTOR(S): Niimi, Takaaki; Maeda, Tomoko; Toda, Atsushi
PATENT ASSIGNEE(S): Mitsubishi Chemical Corp., Japan; Frontier Carbon

Corporation

SOURCE: Jpn. Kokai Tokkyo Koko, 31 pp.

CODEN: JKXXAF

DOCUMENT TYPE: Patent LANGUAGE: Japanese

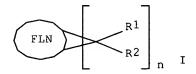
FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO. KIND DATE APPLICATION NO. DATE

JP 2005263795 A 20050929 JP 2005-41674 20050218
PRIORITY APPLN. INFO.: JP 2004-42532 A 20040219

OTHER SOURCE(S): MARPAT 143:346938



Process for the preparation of title compds. I [FLN = fullerene carbon AΒ skeleton; R1, R2 = H, substituent; at least either R1 or R2 is an acidreleasing group;  $n = integer of \geq 2$ ], which is organic solvent-soluble and alkali water-soluble after acid treatment, was provided. For example, to a mixture of di-tert-Bu malonate (9.80 g) and DBU (6.50 g) in 1,2,4trimethylbenzene (150 cm3) was added a solution of iodine (10.90 g) in 1,2,4trimethylbenzene (130 cm3) over a period of 20 min, maintaining the temperature below 11 °C. The resulting solution was warmed-up to room temperature, treated with fullerene C60 (5.00g)/1,2,4-trimethylbenzene (350 cm3) and DBU (6.90 g)/1,2,4-trimethylbenzene (5 cm3) for 4 h to give a mixture of fullerene-x(di-tert-Bu malonate) adducts [x = 3, 4, 5] (9.50 g). Polyadduct of di-tert-Bu malonate with fullerene showed the solubility of 100 mg/cm3 in Et lactate and Me amyl ketone at room temperature IC ICM C07C069-753 ICS C07C067-343 25-29 (Benzene, Its Derivatives, and Condensed Benzenoid Compounds) CC IΤ 105-53-3, Malonic acid diethyl ester 541-16-2, Di-tert-butyl malonate 7553-56-2, Iodine, reactions RL: RCT (Reactant); RACT (Reactant or reagent) (halogenation of methylene compound containing electron withdrawing moiety) ΙT 685-87-0, Bromomalonic acid diethyl ester 99685-96-8, Fullerene C60 RL: RCT (Reactant); RACT (Reactant or reagent) (preparation of methanofullerene compds. via reaction of fullerene C60 with methylene derivs. containing electron withdrawing moiety) 105-53-3DP, Diethyl malonate, halogenated, reaction product with fullerene IT541-16-2DP, Di-tert-butyl malonate, halogenated, reaction product 99685-96-8DP, Fullerene C60, reaction product with with fullerene C60 99685-96-8DP, Fullerene C60, reaction product haloenated di-Et malonate with halogenated di-tert-Bu malonate 160768-45-6P RL: SPN (Synthetic preparation); PREP (Preparation) (preparation of methanofullerene compds. via reaction of fullerene C60 with methylene derivs. containing electron withdrawing moiety) IT160768-45-6P RL: SPN (Synthetic preparation); PREP (Preparation) (preparation of methanofullerene compds. via reaction of fullerene C60 with methylene derivs. containing electron withdrawing moiety)

\*\*\* STRUCTURE DIAGRAM IS NOT AVAILABLE \*\*\*

3'H, 3''H, 3'''H, 3''''H, 3'''''H, 3'''''H-

''''', 3'''''-dodecaethyl ester (CA INDEX NAME)

160768-45-6 HCAPLUS

RN

CN

```
10/589,382
L43 ANSWER 3 OF 25 HCAPLUS COPYRIGHT 2008 ACS on STN
ACCESSION NUMBER:
                         2005:962524 HCAPLUS Full-text
DOCUMENT NUMBER:
                         143:238698
                         Photoresist composition and method of forming
TITLE:
                         resist pattern
INVENTOR(S):
                         Ogata, Toshiyuki; Hojo, Takuma; Tsuji, Hiromitsu;
                         Hirosaki, Takako; Sato, Mitsuru
                         Tokyo Ohka Kogyo Co., Ltd., Japan
PATENT ASSIGNEE(S):
                         PCT Int. Appl., 40 pp.
SOURCE:
                         CODEN: PIXXD2
DOCUMENT TYPE:
                         Patent
                         Japanese
LANGUAGE:
```

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PA'	rent 1	NO.			KIN	D	DATE			APPI	JICAT	ION I	NO.			ATE	
WO	2005	0810	61		A1	_	2005	0901	1	WO 2	2005-	JP13:	92			0050	
	W:	ΑE,	AG,	AL,	AM,	ΑT,	ΑU,	ΑZ,	BA,	BB,	BG,	BR,	BW,	BY,	BZ,	CA,	CH,
		CN,	CO,	CR,	CU,	CZ,	DE,	DK,	DM,	DZ,	EC,	EE,	EG,	ES,	FI,	GB,	GD,
		GE,	GH,	GM,	HR,	HU,	ID,	IL,	IN,	IS,	ΚE,	KG,	ΚP,	KR,	ΚZ,	LC,	LK,
		LR,	LS,	LT,	LU,	LV,	MA,	MD,	MG,	MK,	MN,	MW,	MX,	MZ,	NA,	NI,	NO,
		NZ,	OM,	PG,	PH,	PL,	PT,	RO,	RU,	SC,	SD,	SE,	SG,	SK,	SL,	SY,	ТJ,
		TM,	TN,	TR,	TT,	TZ,	UA,	UG,	US,	UZ,	VC,	VN,	YU,	ZA,	ZM,	zw	
	RW:	BW,	GH,	GM,	ΚE,	LS,	MW,	MZ,	NA,	SD,	SL,	SZ,	TZ,	UG,	ZM,	ZW,	AM,
											BE,						
		EE,	ES,	FI,	FR,	GB,	GR,	HU,	ΙE,	IS,	IT,	LT,	LU,	MC,	NL,	PL,	PT,
		RO,	SE,	SI,	SK,	TR,	BF,	ВJ,	CF,	CG,	CI,	CM,	GA,	GN,	GQ,	GW,	ML,
		MR,	NE,	SN,	TD,	TG											
JP	2005	2667	98		Α		2005	0929		JP 2	2005-	4143	8		2	0050	218
US	2007	0190	447		A1		2007	0816		US 2	2006-	5893	82		2	0060	815
KR	2007	0180	33		Α		2007	0213		KR 2	2006-	7183	77		2	0060	908
KR	8254	65			В1		2008	0428									
PRIORIT	Y APP	LN.	INFO	.:						JP 2	2004-	4369	2		A 2	0040	219
										WO 2	2005-	JP13	92	1	₩ 2	0050	201

OTHER SOURCE(S): MARPAT 143:238698

AB Title photoresist composition contains a fullerene derivative having two or more malonic ester residues for improved etching resistance.

IC ICM G03F007-004

ICS G03F007-038; G03F007-039

- CC 74-5 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes)
- ST photoresist fullerene malonic ester deriv
- IT Photoresists

(photoresist composition containing fullerene malonic
ester derivs.)

IT 862714-07-6P 862714-08-7P 862714-09-8P

862714-10-1P 862714-11-2P

RL: IMF (Industrial manufacture); TEM (Technical or engineered material use); PREP (Preparation); USES (Uses)

(photoresist composition containing fullerene malonic
ester derivs.)

IT 109-92-2D, Ethyl vinyl ether, reaction products with
 hydroxy-containing polymers 72317-19-2, Hydroxystyrene-styrene
 copolymer 722495-59-2D, reaction products with Et vinyl ether
 RL: POF (Polymer in formulation); TEM (Technical or engineered material
 use); USES (Uses)

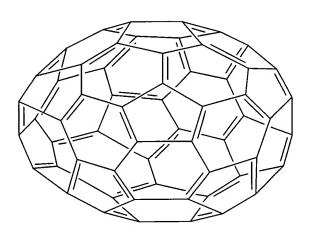
(photoresist composition containing fullerene malonic
ester derivs.)

IT 1116-76-3, Tri-n-octylamine 66003-78-9,

Proposition of the second

Triphenylsulfonium trifluoromethanesulfonate 144317-44-2, Triphenylsulfonium nonafluorobutanesulfonate 357164-86-4 RL: TEM (Technical or engineered material use); USES (Uses) (photoresist composition containing fullerene malonic ester derivs.) 862714-07-6P 862714-08-7P 862714-09-8P ΙT 862714-10-1P 862714-11-2P RL: IMF (Industrial manufacture); TEM (Technical or engineered material use); PREP (Preparation); USES (Uses) (photoresist composition containing fullerene malonic ester derivs.) 862714-07-6 HCAPLUS RN [5,6]Fullerene-C60-Ih-diacetic acid, CN  $\alpha, \alpha'$ -bis(ethoxycarbonyl)-, diethyl ester (9CI) (CA INDEX NAME)



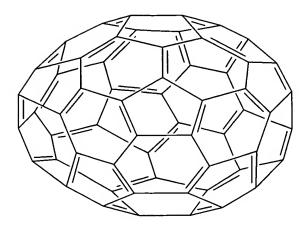


PAGE 2-A

$$^{2}\left[\begin{array}{ccc} & \circ & \text{D1} & \circ \\ \text{L} & & \downarrow & \downarrow \\ \text{EtO} - \text{C} - \text{CH} - \text{C} - \text{OEt} \end{array}\right]$$

RN 862714-08-7 HCAPLUS CN [5,6]Fullerene-C60-Ih-tetraacetic acid,  $\alpha,\alpha',\alpha'',\alpha'''$ -tetrakis(ethoxycarbonyl)-, tetraethyl ester (9CI) (CA INDEX NAME)

PAGE 1-A



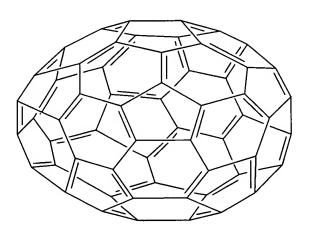
PAGE 2-A

$$4 \left[ \begin{array}{ccc} \bullet & \bullet & \bullet \\ Eto - C - CH - C - OEt \end{array} \right]$$

RN 862714-09-8 HCAPLUS

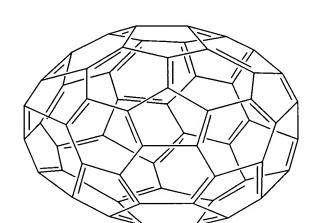
CN [5,6]Fullerene-C60-Ih-pentaacetic acid,  $\alpha,\alpha',\alpha'',\alpha''',\alpha''''$ -pentakis(ethoxycarbonyl)-, pentaethyl ester (9CI) (CA INDEX NAME)





PAGE 2-A

RN 862714-10-1 HCAPLUS CN [5,6]Fullerene-C60-Ih-hexaacetic acid,  $\alpha,\alpha',\alpha'',\alpha''',\alpha'''',\alpha'''''- \\ \text{hexakis(ethoxycarbonyl)-, hexaethyl ester (9CI)} \quad \text{(CA INDEX NAME)}$ 



PAGE 1-A

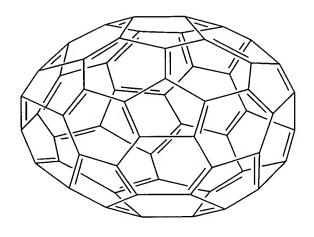
PAGE 2-A

6 
EtO-C-CH-C-OEt

RN 862714-11-2 HCAPLUS

CN [5,6]Fullerene-C60-Ih-tetraacetic acid,
  $\alpha,\alpha',\alpha'',\alpha'''$ -tetrakis[(1,1-dimethylethoxy)carbonyl]-, tetrakis(1,1-dimethylethyl) ester (9CI) (CA INDEX NAME)

PAGE 1-A



PAGE 2-A

$$4\left[\begin{array}{cccc} O & D1 & O \\ t-BuO-C-CH-C-OBu-t \end{array}\right]$$

1T 109-92-2D, Ethyl vinyl ether, reaction products with hydroxy-containing polymers 72317-19-2, Hydroxystyrene-styrene copolymer 722495-59-2D, reaction products with Et vinyl ether RL: POF (Polymer in formulation); TEM (Technical or engineered material use); USES (Uses)

(photoresist composition containing fullerene malonic
ester derivs.)

RN 109-92-2 HCAPLUS

CN Ethene, ethoxy- (CA INDEX NAME)

 ${\tt H3C-CH2-O-CH-CH_2}$ 

RN 72317-19-2 HCAPLUS

CN Phenol, ethenyl-, polymer with ethenylbenzene (CA INDEX NAME)

CM 1

CRN 31257-96-2

CMF C8 H8 O

CCI IDS



D1- OH

D1-CH-CH2

CM 2

CRN 100-42-5 CMF C8 H8

H 2 C === C H -- P h

RN 722495-59-2 HCAPLUS

CN 2-Propenoic acid, 2-methyl-, 3-hydroxytricyclo[3.3.1.13,7]dec-1-yl ester, polymer with 4-ethenylphenol (CA INDEX NAME)

CM 1

CRN 115372-36-6 CMF C14 H20 O3

CM 2

CRN 2628-17-3 CMF C8 H8 O

IT 1116-76-3, Tri-n-octylamine 66003-78-9,
Triphenylsulfonium trifluoromethanesulfonate 144317-44-2,

10/589,382 Triphenylsulfonium nonafluorobutanesulfonate 357164-86-4 RL: TEM (Technical or engineered material use); USES (Uses) (photoresist composition containing fullerene malonic ester derivs.) 1116-76-3 HCAPLUS RN 1-Octanamine, N, N-dioctyl- (CA INDEX NAME) CN (CH2)7-Me Me- (CH2) 7-N- (CH2) 7-Me 66003-78-9 HCAPLUS RN Sulfonium, triphenyl-, 1,1,1-trifluoromethanesulfonate (1:1) (CA INDEX CN NAME) CM 1 CRN 37181-39-8 CMF C F3 O3 S F- 6-503-2 CM CRN 18393-55-0 CMF C18 H15 S Ph Ph\_S+Ph

RN 144317-44-2 HCAPLUS
CN Sulfonium, triphenyl-, 1,1,2,2,3,3,4,4,4-nonafluoro-1-butanesulfonate
(1:1) (CA INDEX NAME)

CM 1

CRN 45187-15-3
CMF C4 F9 O3 S

-03S- (CF2)3-CF3

CM 2

CRN 18393-55-0 CMF C18 H15 S

Ph Ph\_ S+ Ph

RN 357164-86-4 HCAPLUS

CN 2,3-Butanedione, 2,3-dibutylsulfonyloxime (CA INDEX NAME)

REFERENCE COUNT: 8 THERE ARE 8 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L43 ANSWER 4 OF 25 HCAPLUS COPYRIGHT 2008 ACS on STN ACCESSION NUMBER: 2005:587245 HCAPLUS Full-text

DOCUMENT NUMBER: 143:97178

TITLE: Preparation of fullerene-carboxylic acid adducts and

materials comprising them

INVENTOR(S): Toda, Atsushi; Maeda, Tomoko; Kawakami, Kiminori

PATENT ASSIGNEE(S): Mitsubishi Chemical Corp., Japan SOURCE: Jpn. Kokai Tokkyo Koho, 17 pp.

OURCE: Jpn. Kokai Tok CODEN: JKXXAF

CODEN: JKXXA

DOCUMENT TYPE: Patent
LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
		<del>/</del>		
JP 2005179344	А	20050707	JP 2004-337439	20041122
PRIORITY APPLN. INFO.:			JP 2003-393759 A	20031125

AB Title compds. are prepared by hydrolysis of fullerene-carboxylate ester adducts. The materials show cation content (other than proton) ≤5 weight ppm in dry states. C60 fullerene-diethyl malonate adduct was hydrolyzed in the presence of LiOH at 40-50° for 2 h to give 90% C60 fullerene-malonic acid adduct.

IC ICM C07C051-09 ICS C07C061-29

CC 25-29 (Benzene, Its Derivatives, and Condensed Benzenoid Compounds)

IT 160768-45-6

RL: RCT (Reactant); RACT (Reactant or reagent) (preparation of fullerene-carboxylic acid addition products by hydrolysis

```
of
       their esters)
ΙT
    160768-45-6
    RL: RCT (Reactant); RACT (Reactant or reagent)
       (preparation of fullerene-carboxylic acid addition products by hydrolysis
of
       their esters)
    160768-45-6 HCAPLUS
RN
    3'H, 3''H, 3'''H, 3''''H, 3''''H, 3''''H-
CN
    Hexacyclopropa[1,9:16,17:21,40:30,31:44,45:52,60][5,6]fullerene-C60-Ih-
    ''''', 3'''''-dodecaethyl ester (CA INDEX NAME)
*** STRUCTURE DIAGRAM IS NOT AVAILABLE ***
L43 ANSWER 5 OF 25 HCAPLUS COPYRIGHT 2008 ACS on STN
ACCESSION NUMBER:
                       2005:371169 HCAPLUS Full-text
DOCUMENT NUMBER:
                       142:430029
                       Amphiphilic [5:1] - and [3:3] - hexakis - adducts of
TITLE:
                       fullerenes based on malonate groups, and their
                       preparation and use in the formation of micelles and
                       the treatment of oxidative stress diseases
                       Hirsch, Andreas
INVENTOR(S):
                       C Sixty Inc., USA
PATENT ASSIGNEE(S):
                       PCT Int. Appl., 79 pp.
SOURCE:
                       CODEN: PIXXD2
DOCUMENT TYPE:
                       Patent
                       English
LANGUAGE:
FAMILY ACC. NUM. COUNT:
                       1
PATENT INFORMATION:
                                         APPLICATION NO.
                                                               DATE
                              DATE
    PATENT NO.
                       KIND
    _____
                                         _____
                       ____
                              _____
                              20050428
    WO 2005037711
                        A1
                                         WO 2004-US $4003
                                                               20041014
           AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, AR, BW, BY, BZ, CA, CH,
            CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC/EE, EG, ES, FI, GB, GD,
            GE, GH, GM, HR, HU, ID, IL, IN, IS, JF, KE, KG, KP, KR, KZ, LC,
            LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI,
            NO, NZ, OM, PG, PH, PL, PT, RO, RU,/SC, SD, SE, SG, SK, SL, SY,
            TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW
        RW: BW, GH, GM, KE, LS, MW, MZ, NA, 50, SL, SZ, TZ, UG, ZM, ZW, AM,
            AZ, BY, KG, KZ, MD, RU, TJ, TM, AT, BE, BG, CH, CY, CZ, DE, DK,
            EE, ES, FI, FR, GB, GR, HU, IE/IT, LU, MC, NL, PL, PT, RO, SE,
            SI, SK, TR, BF, BJ, CF, CG, CA, CM, GA, GN, GQ, GW, ML, MR, NE,
            SN, TD, TG
                                         US 2004-963990
                              20050630
                                                               20041013
    US 20050143327
                        Α1
                                                               20041014
    CA 2540195
                        A1
                              2005042/8
                                         CA 2004-2540195
                                         US 2003-511763P
                                                               20031015
PRIORITY APPLN. INFO.:
                                                            Ρ
                                         WO 2004-US34003
                                                              20041014
                                                            W
OTHER SOURCE(S):
                       CASREACT 14/2:430029
AB
```

Malonate-substituted fullerenes are disclosed, comprising a fullerene core (Cn, wherein n is an even integer greater than or equal to 60), plus 3 or 5 dihydrocarbylmalonate groups [i.e., C(COOR1)(COOR2) where R1 and R2 are hydrocarbyl] bonded to the fullerene core, and 1 or 3 polar extended malonate groups [i.e., C(COOR3)(COOR4), where R3 and R4 contain terminal polar moieties], also bonded to the fullerene core. Terminal polar moieties include biotin, NH2, CO2H, CONH2, and their protonated forms. The substituted fullerenes can form micelles, and (no data) can be used to ameliorate oxidative stress diseases. Approx. 10 invention compds. and approx. 20

```
fullerene intermediates were prepared in examples. For instance, DMA-
templated tris-cyclopropanation of the e,e,e-tris-adduct of cyclo-[3]-octyl
malonate with C60, using the malonate diester
CH2[COO(CH2)14CONH(CH2)3(OCH2CH2)2O(CH2)3NH-Boc]2 (preparation given), CBr4,
and DBU in PhMe, gave the expected hexakis-adduct in 55% yield. Deprotection
of the latter with TFA in CH2Cl2 (almost quant.) gave a hexaamino amphiphile
[a fullerene hexakis(malonate ester) with 3 malonate groups cyclized by 3
(CH2)8 linkages and the other 3 malonates esterified with 6
(CH2)14CONH(CH2)3O(CH2CH2O)2(CH2)3NH2 groups]. The pH-dependent water
solubility of I was demonstrated by UV/Vis spectroscopy. I showed very low
water solubility at neutral or weakly acidic pH, increased solubility at pH 5,
and complete protonation and solubility at pH 3. I formed thin aggregates in
basic solution at pH 9-10, with the self-assemblies showing diams. of about 70
	ilde{\mathsf{A}} and great length, similar to carbon nanotubes. At neutral and acidic pH, no
aggregates of I were observed Another prepared hexa-L-alanine amphifullerene
was very soluble in THF, DMSO, and water at pH 7.2, and completely insol. in
organic solvents such as CH2Cl2 and CHCl3.
ICM C01B031-02
ICS A61K047-48; A61P039-06; A61P025-28
25-29 (Benzene, Its Derivatives, and Condensed Benzenoid Compounds)
Section cross-reference(s): 1, 34, 46, 63, 66
                              726205-85-2P
                                            726205-88-5P
                                                            727409-10-1P
               726205-83-0P
726205-81-8P
                              727411-82-7P 727411-89-4P
727409-33-8P
               727410-95-9P
               727411-93-0P
727411-90-7P
RL: PAC (Pharmacological activity); PRP (Properties); SPN (Synthetic
preparation); THU (Therapeutic use); BIOL (Biological study); PREP
(Preparation); USES (Uses)
   (amphiphile and drug candidate; preparation of amphiphilic malonate
   hexakis-adducts of fullerenes and their use in the formation of
   micelles and the treatment of oxidative stress diseases)
4617-33-8P, 15-Hydroxypentadecanoic acid
                                           194920-62-2P
               726205-72-7P 726205-73-8P 726205-74-9P
726205-71-6P
726205-75-0P
               726205-76-1P
                              726205-77-2P
                                             726205-78-3P
                                                            726205-79-4P
726205-91-0P
               726205-92-1P
                              726205-93-2P
                                             726205-94-3P
                                                            726205-98-7P
                              727409-38-3P
                                             727410-94-8P
                                                            727411-91-8P
               727409-29-2P
727409-24-7P
727411-92-9P
RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT
(Reactant or reagent)
   (intermediate; preparation of amphiphilic malonate hexakis-adducts of
   fullerenes and their use in the formation of micelles and the treatment
   of oxidative stress diseases)
                        106-02-5, Pentadecanolide
                                                    141-82-2, Malonic
58-85-5, D-(+)-Biotin
                  929-59-9, O,O'-Bis(2-aminoethyl)ethylene glycol
acid, reactions
2488-26-8, L-Alanyl-L-alanine tert-butyl ester 4246-51-9
L-Alanine tert-butyl ester hydrochloride 51857-17-1
                                                      75937-12-1
99685-96-8, [5,6]Fullerene-C60-Ih 103338-25-6, L-Alanyl-L-phenylalanine
                   153086-78-3 160768-44-5
                                            244189-35-3
tert-butyl ester
              726205-97-6
474781-23-2
RL: RCT (Reactant); RACT (Reactant or reagent)
   (starting material; preparation of amphiphilic malonate hexakis-adducts of
   fullerenes and their use in the formation of micelles and the treatment
   of oxidative stress diseases)
727411-89-4P
RL: PAC (Pharmacological activity); PRP (Properties); SPN (Synthetic
preparation); THU (Therapeutic use); BIOL (Biological study); PREP
(Preparation); USES (Uses)
   (amphiphile and drug candidate; preparation of amphiphilic malonate
   hexakis-adducts of fullerenes and their use in the formation of
   micelles and the treatment of oxidative stress diseases)
727411-89-4 HCAPLUS
```

IC

CC

ΙT

ΙT

ΙT

IT

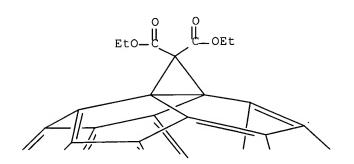
RN

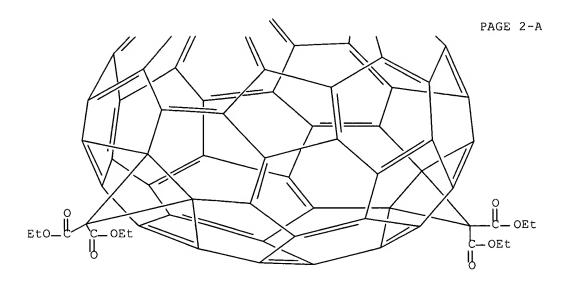
```
3'H, 3''H, 3'''H, 3''''H, 3''''H-
CN
    Hexacyclopropa[1,9:16,17:21,40:30,31:44,45:52,60][5,6]fullerene-C60-Ih-
    31,31,311,311,3111,3111,31111,31111,311111,311111,311111-
    dodecacarboxylic acid, 3',3',3'',3'',3''',3'''',3'''',3'''',3'''''-
    decaethyl 3''''', 3'''''-bis[35-[(3aS, 4S, 6aR)-hexahydro-2-oxo-1H-
    thieno[3,4-d]imidazol-4-yl]-15,31-dioxo-20,23,26-trioxa-16,30-
    diazapentatriacont-1-yl] ester (9CI) (CA INDEX NAME)
*** STRUCTURE DIAGRAM IS NOT AVAILABLE ***
    726205-73-8P 726205-74-9P
TΤ
    RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT
     (Reactant or reagent)
       (intermediate; preparation of amphiphilic malonate hexakis-adducts of
       fullerenes and their use in the formation of micelles and the treatment
       of oxidative stress diseases)
    726205-73-8 HCAPLUS
RN
    3'H, 3''H, 3'''H, 3''''H, 3''''H-
CN
    Hexacyclopropa[1,9:16,17:21,40:30,31:44,45:52,60][5,6]fullerene-C60-Ih-
    3',3',3'',3''',3''',3'''',3'''',3''''',3''''',3'''''-
    dodecacarboxylic acid, 3',3'-bis(33,33-dimethyl-15,31-dioxo-20,23,26,32-
    tetraoxa-16,30-diazatetratriacont-1-yl)
    ester (9CI) (CA INDEX NAME)
*** STRUCTURE DIAGRAM IS NOT AVAILABLE ***
    726205-74-9 HCAPLUS
RN
    3'H, 3''H, 3'''H, 3''''H, 3''''H, 3''''H-
CN
    Hexacyclopropa[1,9:16,17:21,40:30,31:44,45:52,60][5,6]fullerene-C60-Ih-
    dodecacarboxylic acid, 3',3'-bis(29,29-diamino-15-oxo-20,23,26-trixa-16-
    azanonacos-1-yl) 3'',3''',3''',3'''',3'''',3'''',3''''',3''''',3'''''
     ''-decaethyl ester (9CI) (CA INDEX NAME)
*** STRUCTURE DIAGRAM IS NOT AVAILABLE ***
    160768-44-5
TΨ
    RL: RCT (Reactant); RACT (Reactant or reagent)
       (starting material; preparation of amphiphilic malonate hexakis-adducts of
       fullerenes and their use in the formation of micelles and the treatment
       of oxidative stress diseases)
    160768-44-5 HCAPLUS
RN
    3'H, 3''H, 3'''H, 3''''H-
CN
    Pentacyclopropa[1,9:16,17:21,40:30,31\44,45][5,6]fullerene-C60-Ih-
     3',3',3'',3'',3''',3'''',3'''',3''''',3'''''-decacarboxylic acid,
    decaethyl ester (9CI) (CA INDEX NAME)
*** STRUCTURE DIAGRAM IS NOT AVAILABLE ***
                             THERE ARE & CITED REFERENCES AVAILABLE FOR THIS
REFERENCE COUNT:
                        6
                             RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT
                   HCAPLUS COPYRIGHT 2008 ACS on STW
L43 ANSWER 6 OF 25
ACCESSION NUMBER:
                        2004:963015/ HCAPLUS Full-tex
DOCUMENT NUMBER:
                        141:412812/
                       Sterilization filter sheet for all purification
TITLE:
                        Katayama, Shinya; Ishikawa, Hironori; Kamiya, Itaru
INVENTOR(S):
                       Mitsubishi Chemical Corp., Japan
PATENT ASSIGNEE(S):
                        Jpn. Kokai Tokkyo Koho, 8 pp.
SOURCE:
                        CODEN: JKXXAF
DOCUMENT TYPE:
                        Patent
                        Jápanese
LANGUAGE:
FAMILY ACC. NUM. COUNT:
```

## PATENT INFORMATION:

	PATENT NO.	KIND	DATE	APPLICATION NO.	DATE				
PRIO	JP 2004313910 RITY APPLN. INFO.:	A	20041111	JP 2003-110402 JP 2003-110402	20030415				
AB	Colloidal silica, of is selected from zerollerenes can be of is -OH, -COOH, -SOO	colloida eolite, Cn (when BH, -OSO	al alumina, o active C, ac re n is 60, 7 D3H, or -O-PO	supported by a polymer or water glass is used a ctive alumina, or zircon 70, /2, 76, or 84), CpMo 0(0H)2), or C60+r[(COOR nes content is 10 mg/m2	as binder. Carrier hium phosphate. q (p 60 or 70, M )2]r (where P is H				
IC	or alkyl group, r 1-12). The fullerenes content is 10 mg/m2 to 5 g/m2. ICM B01D039-14 ICS A61L009-00; A61L009-18								
CC	47-2 (Apparatus and Section cross-refer	Plant	Equipment						
IT	1344-09-8, Water gl Zirconium phosphate C70 <b>155382-70-0</b>	ass 7 9968 or engi	631-86-9, Co 5-96-9, Full neered mater	lloidal silica, uses erene C60 115383-22-7 ial use); USES (Uses) purification)					
IT	155382-70-0			ial use); USES (Uses)					
RN	(sterilization f	ilter 🌶	/						
CN	3'H,3''H,3'''H-Tric	yclopro		:43,57][5,6]fullerene-C acid, hexaethyl ester					

PAGE 1-A





L43 ANSWER 7 OF 25 HCAPLUS COPYRIGHT 2008 ACS on STN

ACCESSION NUMBER:

2004:695143 HCAPLUS Full-text

DOCUMENT NUMBER:

142:123620

TITLE:

High-resolution synchrotron data collection for

charge-density work at 100 and 20 K

AUTHOR(S):

Luger, Peter; Messerschmidt, Marc; Scheins, Stephan;

Wagner, Armin

CORPORATE SOURCE:

Institute for Chemistry/Crystallography, Free

University Berlin, Berlin, D-14195, Germany

SOURCE:

Acta Crystallographica, Section A: /Foundations of

Crystallography (2004), A60(5), 390-396

CODEN: ACACEQ; ISSN: 0108-7673

PUBLISHER:

Blackwell Publishing Ltd.

DOCUMENT TYPE:

Journal

LANGUAGE:

English

For the measurement of very accurate high-resolution x-ray data for charge-d. work, synchrotron beamlines provide a bright rad/ation source of outstanding properties. Most important are the very high pfimary intensity and the possibility of choosing hard radiation with  $\lambda \not\equiv 0.5$  Å or even shorter. together with area detection and a stable low/temperature device means that accurate and large data sets can be measured in a short time. A number of data collections are reported, which were carried out at the beamlines F1 and D3 of Hasylab (DESY, Hamburg) 1st at 100 K And later at 15-20 K, demonstrating the quality of the measured intensities. A low temperature of .apprx.20 K was obtained at beamline D3 with a double-stage closed-cycle He cryostat where the standard Be cylinder of the vacuum chamber was replaced by a 0.1 mm Kapton film. Comparison of different data sets measured for a strychnine crystal demonstrated how  $\text{I}/\sigma$  ratios favorably improve if synchrotron radiation at a low temperature of 15 K was used. Synchrotron-based studies on several biol. active compds. are briefly summarized and a synchrotron experiment of an otherwise not sufficiently diffracting crystal of a tetraphenylbarbaralane derivative is described. Atomic vols. and charges of a highly substituted C60 fullerene are reported derived from a synchrotron data set of >350000 reflections.

CC 75-8 (Crystallography and Liquid Crystals)

Section cross-reference(s): 9, 22

IT 57-24-9, Strychnine 150251-95-9 447405-26-7

RL: PRP (Properties)

(high-resolution synchrotron data collection for charge-d. work at 100 and 20 K)

ΙT 447405-26-7

RL: PRP (Properties)

(high-resolution synchrotron data collection for charge-d. work at 100 and 20 K)

447405-26-7 HCAPLUS RN

3'H, 3''H, 3'''H, 3''''H, 3''''H, 3''''H-CN

Hexacyclopropa[1,9:16,17:21,40:30,31:44,45:52,60][5,6]fullerene-C60-Ih-dodecacarboxylic acid, dodecaethyl ester, compd. with 1,2-difluorobenzene

(1:2) (9CI) (CA INDEX NAME)

CM

CRN 160768-45-6 CMF C102 H60 O24

\*\*\* STRUCTURE DIAGRAM IS NOT AVAILABLE \*\*\*

CM 2

CRN 367-11-3 CMF C6 H4 F2

THERE ARE 31 CITED REFERENCES AVAILABLE FOR THIS REFERENCE COUNT: 31 RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L43 ANSWER 8 OF 25 HCAPLUS COPYRIGHT 2008 ACS on STN

ACCESSION NUMBER:

2004:142814 HCAPLUS Full-text

DOCUMENT NUMBER:

140:199113

TITLE:

Preparation of therapeutic malonic agid/acetic acid buckminsterfullerenes as neuroprotective antioxidants

Dugan, Laura L.; Lovett, Eva G.; Quick, Kevin L.; INVENTOR(S):

Hardt, Joshua I.

USA PATENT ASSIGNEE(S):

U.S. Pat. Appl. Publ., 30 pp., Cont.-in-part of U.S. SOURCE:

Ser. No. 83,283.

CODEN: USXXCO

Patent DOCUMENT TYPE: LANGUAGE: English

FAMILY ACC. NUM. COUNT:

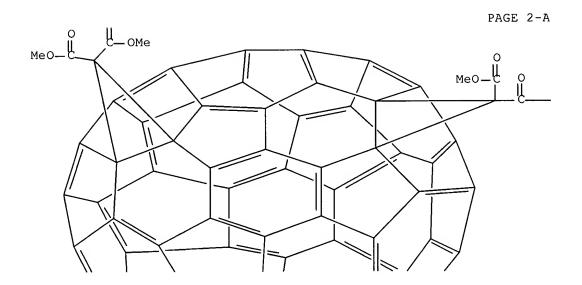
PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
US 20040034100 US 7145032	A1 B2	20040219 20061205	US 2003-373425	20030224
US 20030162837 CA 2517007 WO 2004076349	A1 A1 A1	20030828 20040910 20040910	US 2002-83283 CA 2004-2517007 WO 2004-US5442	20020223 20040224 20040224

```
W: AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH,
             CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD,
             GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC,
             LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI
         RW: BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW, AT, BE,
             BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU,
             MC, NL, PT, RO, SE, SI, SK, TR, BF, BJ, CF, CG, CI, CM, GA, GN,
             GO, GW, ML, MR, NE, SN, TD, TG
                                20051123
                                            EP 2004-714163
                                                                    20040224
     EP 1597199
                          A1
         R: AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
             IE, SI, LT, LV, FI, RO, MK, CY, AL, TR, BG, CZ, EE, HU, SK
                                            JP 2006-503837
     JP 2006518760
                          \mathbf{T}
                                20060817
                                                                    20040224
                                20070510
                                            US 2006-424175
                                                                    20060614
     US 20070106087
                          A1
                                20080904
                                            US 2008-44634
                                                                    20080307
     US 20080214670
                          A1
                                            US 2002-83283
                                                                A2 20020223
PRIORITY APPLN. INFO.:
                                            US 2003-373425
                                                                A 20030224
                                            WO 2004-US5442
                                                                W 20040224
                                            US 2006-424175
                                                                A3 20060614
                         CASREACT 140:199113; MARPAT 140:199113
OTHER SOURCE(S):
     Title compds. with general formula C60R3 [I, wherein R = independently CR1R2;
AΒ
     R1, R2 = independently H, CO2H, CO2Me; and pharmaceutically acceptable salts,
     esters or carriers thereof] were prepared as neuroprotective antioxidants.
     For example, reaction of C60 and di-Me bromomalonate in toluene, followed by
     hydrolysis with sodium methoxide, gave I (R1 = R2 = CO2H) in 89% yield.
     Administration of the latter to mice increased their lifespans by approx. 20%
     compared to controls, and I also showed neuroprotection vs. NMDA and AMPA
     toxicity. Thus, title compds. and their pharmaceutical compns. are useful for
     treating neuronal injury and for life-extension.
IC
     ICM A61K031-192
     ICS C07C063-48
INCL 514569000; 562488000
     25-29 (Benzene, Its Derivatives, and Condensed Benzenoid Compounds)
     Section cross-reference(s): 1, 63
     583027-50-3P 660836-40-8P 660836-42-0P
IT
                    660836-49-7P 660836-53-3P
                                                660836-56-6P
     660836-45-3P
     RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT
     (Reactant or reagent)
        (preparation of therapeutic malonic acid/acetic acid buckminsterfullerenes
        as neuroprotective antioxidants)
     583027-50-3P 660836-40-8P 660836-42-0P
ΙT
     660836-45-3P 660836-53-3P
     RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT
     (Reactant or reagent)
        (preparation of therapeutic malonic acid/acetic acid buckminsterfullerenes
        as neuroprotective antioxidants)
     583027-50-3 HCAPLUS
RN
     3'H, 3''H, 3'''H-Tricyclopropa[1,9:16,17:21,40][5,6]fullerene-C60-Ih-
CN
     3',3',3'',3'',3''',hexacarboxylic acid, hexamethyl ester (9CI) (CA
     INDEX NAME)
```

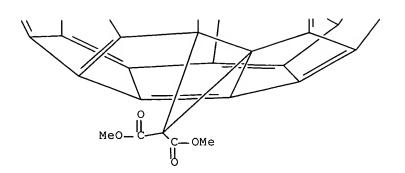
PAGE 1-A

0



PAGE 2-B

\_\_OMe



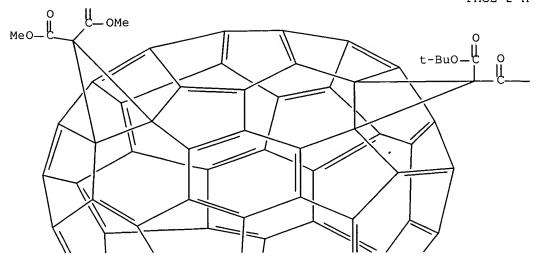
PAGE 3-A

RN 660836-40-8 HCAPLUS
CN 3'H,3''H,3'''H-Tricyclopropa[1,9:16,17:21,40][5,6]fullerene-C60-Ih3',3',3'',3''',3'''-hexacarboxylic acid, 3',3'-bis(1,1-dimethylethyl)
3'',3''',3'''-tetramethyl ester (9CI) (CA INDEX NAME)

PAGE 1-A

0

PAGE 2-A



PAGE 2-B

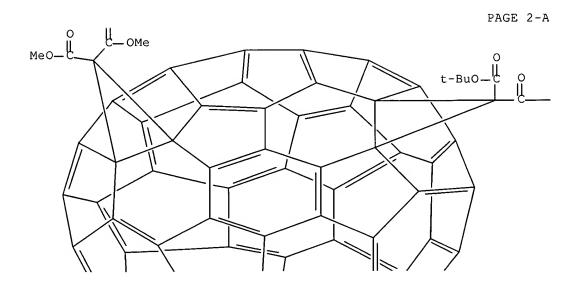
\_\_OBu-t

660836-42-0 HCAPLUS RN

3'H,3''H,3'''H-Tricyclopropa[1,9:16,17:21,40][5,6]fullerene-C60-Ih-3',3',3'',3''',bexacarboxylic acid,
3',3',3'',3'''-tetrakis(1,1-dimethylethyl) 3''',3'''-dimethyl ester (9CI) CN (CA INDEX NAME)

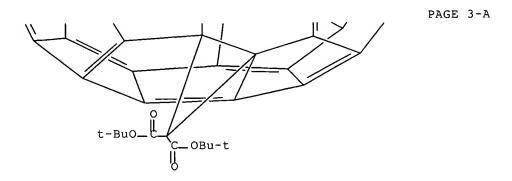
PAGE 1-A

0

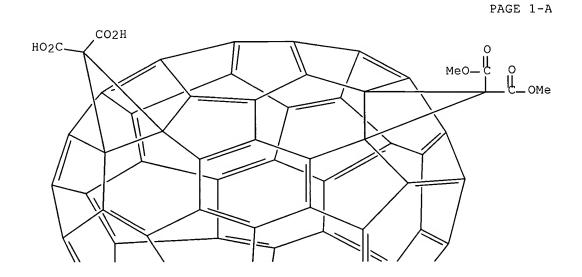


PAGE 2-B

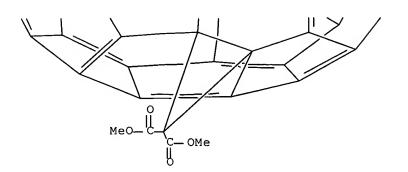
\_\_OBu-t



RN 660836-45-3 HCAPLUS
CN 3'H,3''H-Tricyclopropa[1,9:16,17:21,40][5,6]fullerene-C60-Ih3',3',3'',3''',3'''-hexacarboxylic acid, 3'',3''',3'''-tetramethyl
ester (9CI) (CA INDEX NAME)

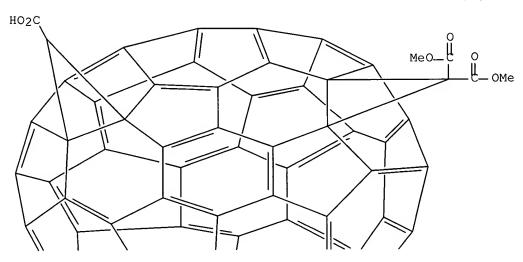


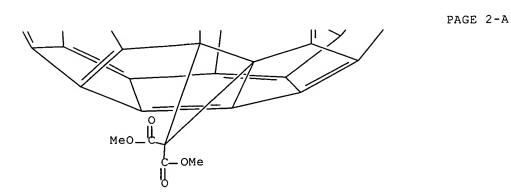
PAGE 2-A



RN 660836-53-3 HCAPLUS
CN 3'H,3''H,3'''H-Tricyclopropa[1,9:16,17:21,40][5,6]fullerene-C60-Ih3',3'',3''',3'''-pentacarboxylic acid, 3'',3''',3'''-tetramethyl
ester (9CI) (CA INDEX NAME)







L43 ANSWER 9 OF 25 HCAPLUS COPYRIGHT 2008 ACS on STN ACCESSION NUMBER: 2003:931014 HCAPLUS Full-text

DOCUMENT NUMBER: 139:402992

TITLE: Derivatization and solubilization of fullerenes for

use in therapeutic and diagnostic applications

INVENTOR(S): Bolskar, Robert D.; Alford, J. Michael

PATENT ASSIGNEE(S): USA

SOURCE: U.S. Pat. Appl. Publ., 25 pp., Cont.-in-part of U.S.

Ser. No. 263,375. CODEN: USXXCO

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 2

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
US 20030220518	A1	20031127	US 2003-410809	20030409
US 20030065206	A1	20030403	US 2002-263375	20021001
AU 2002334784	A1	20030414	AU 2002-334784	20021001
PRIORITY APPLN. INFO.:			US 2001-326353P P	20011001
			US 2002-371380P P	20020409
			US 2002-263375 A2	20021001
			WO 2002-US31362 W	20021001

OTHER SOURCE(S): MARPAT 139:402992

The invention provides improved therapeutic and diagnostic fullerenes and endohedral fullerenes. The fullerenes and endohedral fullerenes of the invention are derivatized with at least two charged functional groups (and preferably more than two charged functional groups) to provide for watersolubility and improved in vivo biodistribution. Improved derivatized fullerenes and endohedral fullerenes carry a plurality of functional groups at least two of which are charged. Preferably at least about 1/6 of the possible derivation sites on the fullerene cage carry derivs. and preferably at least about 1/2 of the functional groups on the fullerene cage are charged groups. The invention also provides water-soluble endohedral metallofullerenes with improved biodistribution which are useful as in vivo imaging agents, including MRI contrast agents. Thus, Gd@C60[C(COOH)2]10 was prepared by reacting Gd@C60 with di-Et bromomalonate and converting the ester to the acid. Relaxity measurements and in vivo MRI measurements were made for Gd@C60[C(COOH)2]10.

IC ICM C07C229-00 ICS C07C063-64

INCL 560019000; 562433000; 562405000

CC 78-5 (Inorganic Chemicals and Reactions)

Section cross-reference(s): 8, 25

7429-91-6DP, Dysprosium, functionalized fullerene encapsulated 7439-90-9DP, Krypton, functionalized fullerene encapsulated 7439-93-2DP, Lithium, functionalized fullerene encapsulated 7439-93-2DP, Lithium, functionalized fullerene encapsulated 7439-94-3DP, Lutetium, functionalized fullerene encapsulated 7439-95-4DP, Magnesium, functionalized fullerene encapsulated 7439-99-8DP, Neptunium, functionalized fullerene encapsulated 7440-00-8DP, Neodymium, functionalized fullerene encapsulated 7440-01-9DP, Neon, functionalized fullerene encapsulated 7440-07-5DP, Plutonium, functionalized fullerene encapsulated 7440-09-7DP, Potassium, functionalized fullerene encapsulated 7440-10-0DP, Praseodymium, functionalized fullerene encapsulated 7440-13-3DP, Protactinium, functionalized fullerene

7440-14-4DP, Radium, functionalized fullerene encapsulated 7440-17-7DP, Rubidium, functionalized fullerene encapsulated 7440-19-9DP, Samarium, functionalized fullerene encapsulated 7440-20-2DP, Scandium, functionalized fullerene encapsulated 7440-23-5DP, Sodium, functionalized fullerene encapsulated 7440-24-6DP, Strontium, functionalized fullerene encapsulated 7440-27-9DP, Terbium, 7440-29-1DP, Thorium, functionalized fullerene encapsulated functionalized fullerene encapsulated 7440-30-4DP, Thulium, 7440-34-8DP, Actinium, functionalized fullerene encapsulated functionalized fullerene encapsulated 7440-35-9DP, Americium, 7440-36-0DP, Antimony, functionalized fullerene encapsulated functionalized fullerene encapsulated 7440-37-1DP, Argon, functionalized 7440-39-3DP, Barium, functionalized fullerene fullerene encapsulated 7440-41-7DP, Beryllium, functionalized fullerene encapsulated 7440-45-1DP, Cerium, functionalized fullerene encapsulated encapsulated 7440-46-2DP, Cesium, functionalized fullerene encapsulated 7440-52-0DP, Erbium, Copper, functionalized fullerene encapsulated functionalized fullerene encapsulated 7440-53-1DP, Europium, functionalized fullerene encapsulated 7440-54-2DP, Gadolinium, functionalized fullerene encapsulated 7440-58-6DP, Hafnium, functionalized fullerene encapsulated 7440-59-7DP, Helium, functionalized fullerene encapsulated 7440-60-0DP, Holmium, functionalized fullerene encapsulated 7440-61-1DP, Uranium, functionalized fullerene encapsulated 7440-63-3DP, Xenon, functionalized 7440-64-4DP, Ytterbium, functionalized fullerene fullerene encapsulated 7440-65-5DP, Yttrium, functionalized fullerene encapsulated encapsulated 7440-67-7DP, Zirconium, functionalized fullerene encapsulated 7440-68-8DP, Astatine, functionalized fullerene encapsulated 7440-70-2DP, 7440-69-9DP, Bismuth, functionalized fullerene encapsulated Calcium, functionalized fullerene encapsulated 7723-14-0DP, 10043-92-2DP, Phosphorus-31, functionalized fullerene encapsulated 13967-65-2DP, Holmium-166, Radon, functionalized fullerene encapsulated functionalized fullerene encapsulated, preparation 13967-74-3DP, Cerium-141, functionalized fullerene encapsulated, preparation 13981-14-1DP, Protactinium-233, functionalized fullerene encapsulated, preparation 13981-25-4DP, Copper-64, functionalized fullerene 13981-29-8DP, Terbium-160, functionalized encapsulated, preparation 13981-30-1DP, Thulium-170, fullerene encapsulated, preparation functionalized fullerene encapsulated, preparation 13981-53-8DP, Radium-225, functionalized fullerene encapsulated, preparation 14041-44-2DP, Ytterbium-175, functionalized fullerene encapsulated, 14265-75-9DP, Lutetium-177, functionalized fullerene preparation 14265-85-1DP, Actinium-225, functionalized encapsulated, preparation 14269-74-0DP, Neodymium-147, fullerene encapsulated, preparation 14362-44-8DP, Iodine functionalized fullerene encapsulated, preparation 14390-96-6DP, atom, functionalized fullerene encapsulated, preparation Nitrogen-15, functionalized fullerene encapsulated, preparation 14391-19-6DP, Terbium-161, functionalized fullerene encapsulated, 14762-55-1DP, Helium-3, functionalized fullerene preparation 14762-74-4DP, Carbon-13, functionalized encapsulated, preparation fullerene encapsulated, preparation 14762-94-8DP, Fluorine atom, functionalized fullerene encapsulated, preparation 14798-13-1DP, Boron-11, functionalized fullerene encapsulated, preparation 14859-67-7DP, Radon-222, functionalized fullerene encapsulated, preparation 14913-49-6DP, Bismuth-212, functionalized fullerene 14932-42-4DP, Xenon-133, functionalized encapsulated, preparation 15092-94-1DP, Lead-212, fullerene encapsulated, preparation functionalized fullerene encapsulated, preparation 15128-03-7DP, Copper-61, functionalized fullerene encapsulated, preparation 15623-45-7DP, Radium-223, functionalized fullerene encapsulated,

15623-47-9DP, Thorium-227, functionalized fullerene encapsulated, preparation 15755-39-2DP, Astatine-211, functionalized 15757-86-5DP, Copper-67, fullerene encapsulated, preparation functionalized fullerene encapsulated, preparation 15776-20-2DP, Bismuth-213, functionalized fullerene encapsulated, preparation 15840-13-8DP, Erbium-169, functionalized fullerene encapsulated, preparation 99685-96-8DP, Fullerene-C60, functionalized derivs. of endohedral fullerenes 115383-22-7DP, Fullerene-C70, functionalized 135113-16-5DP, Fullerene-C84, derivs. of endohedral fullerenes functionalized derivs. of endohedral fullerenes 136846-58-7DP, Fullerene-C74, functionalized derivs. of empty and endohedral fullerenes 136846-59-8DP, Fullerene-C82, functionalized derivs. of endohedral 147015-04-1DP, Fullerene-C80, functionalized derivs. fullerenes 538349-19-8P 538349-18-7P RL: DGN (Diagnostic use); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses) (preparation of functionalized fullerenes and endohedral fullerenes with improved water solubility for use in therapeutic and diagnostic applications)

#### IT 538349-18-7P

RL: DGN (Diagnostic use); SPN (Synthetic preparation); THU (Therapeutic use); BIOL (Biological study); PREP (Preparation); USES (Uses) (preparation of functionalized fullerenes and endohedral fullerenes with improved water solubility for use in therapeutic and diagnostic applications)

#### RN 538349-18-7 HCAPLUS

## \*\*\* STRUCTURE DIAGRAM IS NOT AVAILABLE \*\*\*

L43 ANSWER 10 OF 25 HCAPLUS COPYRIGHT 2008 ACS on STN ACCESSION NUMBER: 2003:678517 HCAPLUS <u>Full-text</u>

DOCUMENT NUMBER: 139:191480

TITLE: Carboxyfullerenes and use as superoxide dismutase

mimetics and in increasing lifespan

INVENTOR(S): Dugan, Laura L.; Lovett, Eva G.; Quick, Kevin L.;

Hardt, Joshua I.

PATENT ASSIGNEE(S): USA

SOURCE: U.S. Pat. Appl. Publ., 19 pp.

CODEN: USXXCO

DOCUMENT TYPE: Patent LANGUAGE: English

FAMILY ACC. NUM. COUNT: 2

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
US 20030162837 CA 2476782 WO 2003072802	A1 A1 A2	20030828 20030904 20030904	US 2002-83283 CA 2003-2476782 WO 2003-US5332	20020223 20030220 20030220
CO, CR, CU,	CZ, DE	, DK, DM, DZ	A, BB, BG, BR, BY, BZ, E, EC, EE, ES, FI, GB, P, KE, KG, KP, KR, KZ,	GD, GE, GH,

```
10/589,382
             LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH,
             PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, TJ, TM, TN, TR, TT, TZ,
             UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW
         RW: GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW, AM, AZ, BY,
             KG, KZ, MD, RU, TJ, TM, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES,
             FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, SE, SI, SK, TR, BF,
             BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG
                                           AU 2003-213206
                                                                    20030220
     AU 2003213206
                                20030909
                          A1
                                            EP 2003-709252
                                                                   20030220
     EP 1476150
                          A2
                                20041117
            AT, BE, CH, DE, DK, ES, FR, GB, GR, IT, LI, LU, NL, SE, MC, PT,
             IE, SI, LT, LV, FI, RO, MK, CY, AL, TR, BG, CZ, EE, HU, SK
                                            JP 2003-571482
                                20051222
     JP 2005538935
                          Т
                                            US 2003-373425
                                                                   20030224
     US 20040034100
                          Α1
                                20040219
                                20061205
     US 7145032
                          В2
                                            US 2006-424175
                                                                    20060614
     US 20070106087
                                20070510
                          A1
                                            US 2008-44634
                                                                    20080307
                                20080904
     US 20080214670
                          A1
                                                                A 20020223
PRIORITY APPLN. INFO.:
                                            US 2002-83283
                                            WO 2003-US5332
                                                                W 20030220
                                                                A3 20030224
                                            US 2003-373425
                                                                A3 20060614
                                            US 2006-424175
     The invention provides a method for increasing a metazoan's lifespan,
AΒ
     comprising administering a carboxylated derivative of a C 60 fullerene.
     invention also provides a process for extending a metazoan's lifespan by
     administering a superoxide dismutase mimetic, as well as a composition
     comprising a superoxide dismutase mimetic. The invention further provides a
     pharmaceutical composition comprising carboxyfullerenes having x pairs of
     adjacent carbon atoms bonded to two carbons of the C 60 sphere wherein the
     adjacent carbon atom is further bonded to two groups of the general formula -
     COOH and -R, wherein R is independently selected from the group consisting of
     -COOH and -H, and wherein x \ge 1. A further embodiment is a non-metal
     containing composition which can catalytically eliminate two biol. reactive
     species. Another embodiment is a method of enhancing elimination of reactive
     oxygen species in eukaryotic cells by contacting cells with a superoxide
     dismutase mimetic.
     ICM A61K031-19
IC
INCL 514574000
     1-12 (Pharmacology)
     Section cross-reference(s): 25, 63
IT
     583027-50-3P
```

RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent)

(carboxyfullerenes and use as superoxide dismutase mimetics and in increasing lifespan)

ΙT 583027-50-3P

> RL: RCT (Reactant); SPN (Synthetic preparation); PREP (Preparation); RACT (Reactant or reagent)

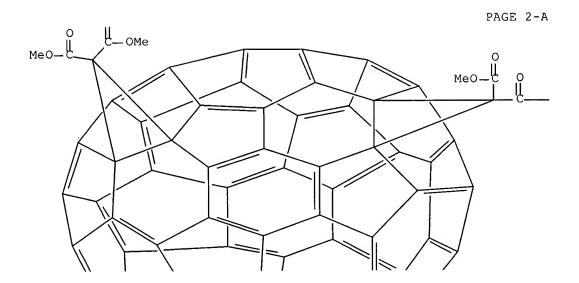
(carboxyfullerenes and use as superoxide dismutase mimetics and in increasing lifespan)

RN 583027-50-3 HCAPLUS

3'H, 3''H, 3'''H-Tricyclopropa[1,9:16,17:21,40][5,6]fullerene-C60-Ih-CN 3',3',3'',3''',3'''-hexacarboxylic acid, hexamethyl ester (9CI) INDEX NAME)

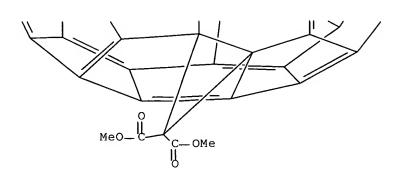
PAGE 1-A

ö



PAGE 2-B

\_\_\_ОМе



PAGE 3-A

L43 ANSWER 11 OF 25 HCAPLUS COPYRIGHT 2008 ACS on STN 2003:531546 HCAPLUS Full-text ACCESSION NUMBER:

139:108747 DOCUMENT NUMBER:

TITLE: Fullerene-containing dye and application such as

optical recording materials, color composition for

color filter, ink thereof

Saito, Yasunori; Tsukahara, Hiroshi; Ogiso, Akira; INVENTOR(S):

Misawa, Tsutayoshi

Mitsui Chemicals, Inc., Japan; Yamamoto Chemicals Inc. PATENT ASSIGNEE(S):

Jpn. Kokai Tokkyo Koho, 41 pp. SOURCE:

CODEN: JKXXAF

DOCUMENT TYPE: Patent LANGUAGE: Japanese

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.	DATE
JP 2003196881	A	20030711	JP 2002-256809	20020902
PRIORITY APPLN. INFO.:			JP 2001-265981 A	20010903

- The invention relates to a fullerene dye disposed in an organic dye layer as an optical recoding layer on a substrate. The dye provides the good recording/reading characteristic using 300-500 nm light.
- ICM G11B007-24 IC
  - ICS B41M005-26; C08K003-04; C08L101-00; C09B057-00; C09D011-00; G11B007-004
- 74-12 (Radiation Chemistry, Photochemistry, and Photographic and Other CC Reprographic Processes)

Section cross-reference(s): 42, 73

99685-96-8D, [5,6]Fullerene-C60-Ih, di(N-Bu pyrrolido) derivs. ΙT

149333-46-0 142131-92-8 146799-21-5 148085-32-9 140231-67-0

155679-96-2 154133-70-7 **155420-08-9** 155662-47-8

169141-88-2 157368-25-7 158980-54-2 160606-42-8 156372-18-8 183628-98-0 182758-81-2 183548-59-6 170244-42-5 175696-22-7 558480-83-4 195392-52-0 558480-80-1 558480-81-2 558480-82-3 558480-88-9 558480-89-0 558480-90-3 558480-84-5 558480-87-8 558481-01-9 560069-84-3 560131-28-4 558480-92-5 558480-99-2

560131-34-2 560131-32-0

RL: TEM (Technical or engineered material use); USES (Uses) (fluorene-containing dye for optical recording materials)

155420-08-9 IT

RL: TEM (Technical or engineered material use); USES (Uses)

10/589,382

October 24, 2008

(fluorene-containing dye for optical recording materials)

RN 155420-08-9 HCAPLUS

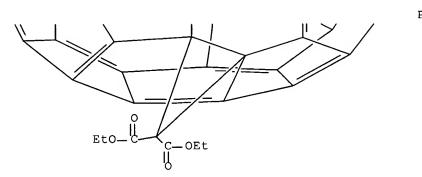
CN 3'H,3''H-Tricyclopropa[1,9:16,17:21,40][5,6]fullerene-C60-Ih-3',3',3'',3''',3'''-hexacarboxylic acid, hexaethyl ester (9CI) (CA INDEX NAME)

PAGE 1-A

0

PAGE 2-B

\_\_\_ OE t



PAGE 3-A

L43 ANSWER 12 OF 25 HCAPLUS COPYRIGHT 2008 ACS on STN ACCESSION NUMBER: 2003:374947 HCAPLUS Full-text

DOCUMENT NUMBER: 139:338424

TITLE: Amphiphilic and mesomorphic fullerene-based dendrimers

Author (S):

Amphiphilic and mesomorphic fullerene-based dendrimers

Author (S):

Amphiphilic and mesomorphic fullerene-based dendrimers

AUTHOR(S): Guillon, Daniel; Nierengarten, Jean-Francois; Gallani, Jean-Louis; Eckert, Jean-Francois; Rio, Yannick; del

Pilar Carreon, Maria; Dardel, Blaise; Deschenaux,

 ${\tt Robert}$ 

CORPORATE SOURCE: Institut de Physique et Chimie des Materiaux de

Strasbourg, Groupe des Materiaux Organiques,

Strasbourg, 67037, Fr.

SOURCE: Macromolecular Symposia (2003), 192(7th Pacific

Polymer Conference, 2001), 63-73 CODEN: MSYMEC; ISSN: 1022-1360

PUBLISHER: Wiley-VCH Verlag GmbH & Co. KGaA

DOCUMENT TYPE: Journal LANGUAGE: English

AB Growing attention is currently devoted to large dendritic structures for applications in nanotechnol. and materials science. In this respect, the incorporation of such compds. into thin ordered films appears to be an important issue. One of the most widely pursued approaches to structurally ordered dendrimer assemblies was the preparation of Langmuir films at the airwater interface. We report on the case of a diblock globular fullerene-based dendrimer and show that peripheral substitution of the dendrimer with hydrophobic chains on one hemisphere and hydrophilic groups on the other provides the required hydrophobic/hydrophilic balance allowing the formation of stable Langmuir films. A second approach was to consider the case of fullerene containing dendrimers terminated by mesogenic groups such as cyanobiphenyl subunits. Whatever the generation is, up to the fourth one, all these compds. exhibit a well-defined liquid crystalline smectic A phase. The mol. organization within the smectic layers is monolayered or bilayered

depending on the generation. For the smallest dendrimers, the organization is mainly governed by the size of the fullerene moiety, whereas for the higher ones, it is governed by the interactions between the terminal mesogenic groups. These two approaches appear particularly interesting for functional groups such as fullerenes, which are not well adapted to be organized in nanoscale architectures. The present study shows that fullerenes can indeed be introduced into different types of ordered structure when they were chemical adequately modified. 3',3''-(Methanoxymethano[1,3]benzenomethanoxymethano)-3'H,3''H- dicyclop ropa[1,9:3,15][5,6]fullerene-C60-Ih-3',3''-dicarboxylic acid.

CC 36-5 (Physical Properties of Synthetic High Polymers) Section cross-reference(s): 78

IT 155382-73-3D, functionalyzed, reaction products with benzyl alc. dendrimers, ether with hexadecanol and triethylene glycol monomethyl ether 183503-49-3D, cyanobiphenyl terminated

RL: PEP (Physical, engineering or chemical process); PRP (Properties); PYP (Physical process); PROC (Process)

(Langmuir monolayers of liquid crystalline amphiphilic and mesomorphic fullerene-based dendrimers)

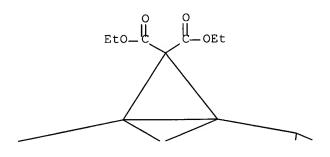
IT 155382-73-3D, functionalyzed, reaction products with benzyl alc.
dendrimers, ether with hexadecanol and triethylene glycol monomethyl ether
RL: PEP (Physical, engineering or chemical process); PRP (Properties); PYP
(Physical process); PROC (Process)

(Langmuir monolayers of liquid crystalline amphiphilic and mesomorphic fullerene-based dendrimers)

RN 155382-73-3 HCAPLUS

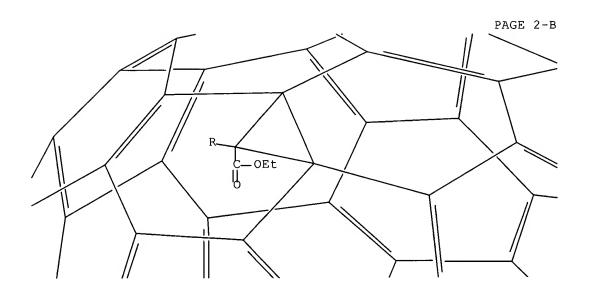
CN 3'H,3''H-Dicyclopropa[1,9:3,15][5,6]fullerene-C60-Ih-3',3',3'',3''-tetracarboxylic acid, tetraethyl ester (9CI) (CA INDEX NAME)

PAGE 1-B

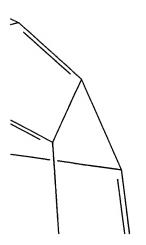


PAGE 2-A

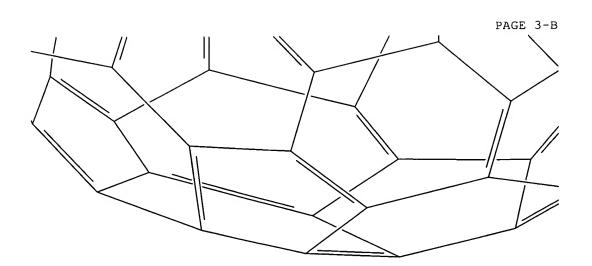




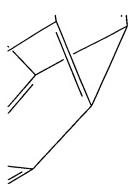
PAGE 2-C







PAGE 3-C



PAGE 4-A

Eto-C-I

REFERENCE COUNT:

19 THERE ARE 19 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L43 ANSWER 13 OF 25 HCAPLUS COPYRIGHT 2008 ACS on STN

ACCESSION NUMBER:

2003:97997 HCAPLUS Full-text

DOCUMENT NUMBER:

138:131176

TITLE:

Fullerene derivatives that modulate nitric oxide

synthase and calmodulin activity

INVENTOR(S):

Wilson, Stephen R.; Wolff, Donald J.; Schuster, David I.; Richardson, Christine F.; Papoiu, Alexandru Dragos

Petru; Alford, John Michael

PATENT ASSIGNEE(S):

USA

SOURCE:

U.S. Pat. Appl. Publ., 20 pp.

CODEN: USXXCO

DOCUMENT TYPE:

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT:

PATENT INFORMATION:

PATENT NO.	KIND	DATE	APPLICATION NO.		DATE
US 20030027870	A1	20030206	US 2002-147453	_	20020515
PRIORITY APPLN. INFO.:			US 2001-291462P E	?	20010515
OTHER SOURCE(S):	MARPAT	138:131176			

The invention provides fullerene derivs., particularly fullerene derivs. that are water-soluble, that modulate the activity of nitric oxide synthase (NOS) and/or calmodulin. The invention provides methods for modulating NOS activity and particularly provides methods for inhibiting NOS activity, by contacting one or more fullerene derivs. of this invention with cells or tissue that exhibit NOS activity. In a specific embodiment, the invention provides water-soluble fullerene derivs. that are selective inhibitors of neuronal NOS or iNOS. Preferred water soluble fullerenes have substituents that contain one or more amine groups, amine cationic groups. More generally, water-soluble fullerenes of this invention contain one or more polar, charged, or

zwitterionic groups. Pharmaceutical compns. comprising fullerene derivs. of the invention are useful therapeutically, e.g. for the treatment and/or prevention of cancers and as anti-aging compns. Preparation of the fullerene derivs. is described.

IC ICM A61K031-135 ICS A61K031-05

INCL 514656000; 514732000

CC 1-12 (Pharmacology)

Section cross-reference(s): 25, 63

IT 1663-67-8, Malonyl chloride 58885-58-8 73842-99-6 99685-96-8, C60
Fullerene 153218-90-7 155382-70-0 155420-08-9
RL: RCT (Reactant); RACT (Reactant or reagent)

(fullerene derivative modulators of NO synthase and calmodulin)

IT 155382-70-0 155420-08-9

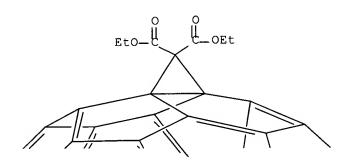
RL: RCT (Reactant); RACT (Reactant or reagent)

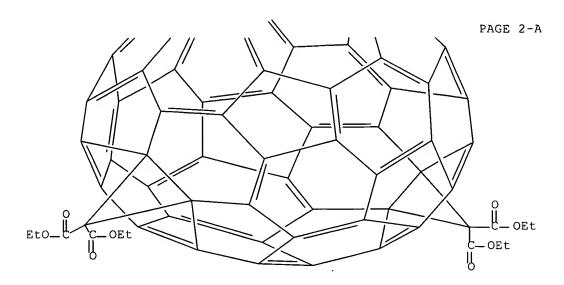
(fullerene derivative modulators of NO synthase and calmodulin)

RN 155382-70-0 HCAPLUS

CN 3'H,3''H-Tricyclopropa[1,9:34,35:43,57][5,6]fullerene-C60-Ih-3',3',3'',3''',3'''-hexacarboxylic acid, hexaethyl ester (9CI) (CA INDEX NAME)

PAGE 1-A



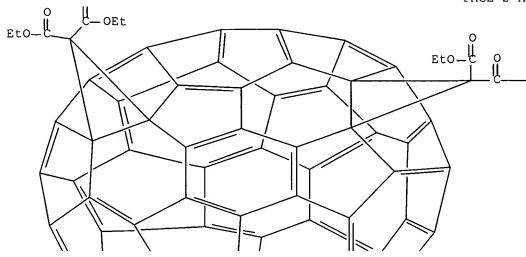


RN 155420-08-9 HCAPLUS
CN 3'H,3''H,3'''H-Tricyclopropa[1,9:16,17:21,40][5,6]fullerene-C60-Ih3',3',3'',3''',3'''-hexacarboxylic acid, hexaethyl ester (9CI) (CA
INDEX NAME)

PAGE 1-A

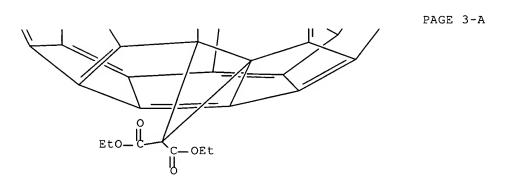
0

PAGE 2-A



PAGE 2-B

\_\_\_ OEt



L43 ANSWER 14 OF 25 HCAPLUS COPYRIGHT 2008 ACS on STN ACCESSION NUMBER: 1999:654292 HCAPLUS <u>Full-text</u>

DOCUMENT NUMBER: 132:28213

TITLE: Optical limiting of fullerenes. Why are the results so

different in solution vs. in solid matrix?

AUTHOR(S): Sun, Ya-Ping; Riggs, Jason E.

CORPORATE SOURCE: Department of Chemistry and Center for Advanced

Engineering Fibers and Films, Howard L. Hunter

Chemistry Laboratory, Clemson University, Clemson, SC,

29634-1905, USA

SOURCE:

Proceedings - Electrochemical Society (1999),

99-12 (Recent Advances in the Chemistry and Physics of

Fullerenes and Related Materials), 398-410

CODEN: PESODO; ISSN: 0161-6374

PUBLISHER:

Electrochemical Society

DOCUMENT TYPE:

Journal

LANGUAGE: English

- Optical limiting properties of methano[60]fullerene di-Et malonate mono- and multiple-adducts were studied systematically. For all of the fullerene derivs. in room-temperature solution, observed optical limiting responses toward the second harmonic of a Q-switched Nd:YAG nanosecond pulsed laser are strongly concentration dependent. The strong concentration dependence is likely due to effects on optical limiting contributions that are associated with bimol. excited state processes in the fullerene derivs. Consistent with such effects, optical limiting responses of the mono-adduct in polymethylmethacrylate polymer films are much weaker than those in concentrated solns. but in excellent agreement with those in dilute solns. The results are explained in terms of a reverse saturable absorption mechanism that includes both unimol. and bimol. excited state processes of fullerenes.
- 73-10 (Optical, Electron, and Mass Spectroscopy and Other Related CC Properties)
- Nonlinear optical absorption IT

Optical limiting

(of methano-C60 di-Et malonate adducts in solution and polymer films)

Excited state absorption ΙT

Solvent effect

(optical limiting of methano-C60 di-Et malonate adducts in solution and polymer films)

ITFullerenes

> RL: PEP (Physical, engineering or chemical process); PRP (Properties); PROC (Process)

(optical limiting of methano-C60 di-Et malonate adducts in solution and polymer films)

Laser radiation ΙT

(pulsed; optical limiting of methano-C60 di-Et malonate adducts in solution and polymer films)

9011-14-7, Poly(methyl methacrylate) ΙT

RL: NUU (Other use, unclassified); USES (Uses)

(optical limiting of methano-C60 di-Et malonate adducts in polymer films)

155382-68-6 160768-45-6 188051-42-5 ΙT

RL: PEP (Physical, engineering or chemical process); PRP (Properties); PROC (Process)

(optical limiting of solns., and excited triplet state absorption)

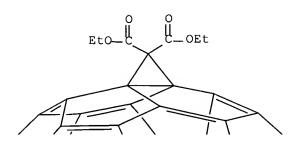
155382-68-6 160768-45-6 188051-42-5 ΙT

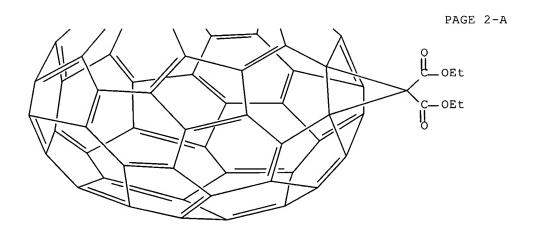
> RL: PEP (Physical, engineering or chemical process); PRP (Properties); PROC (Process)

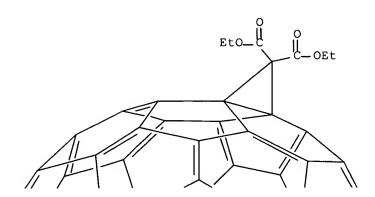
(optical limiting of solns., and excited triplet state absorption)

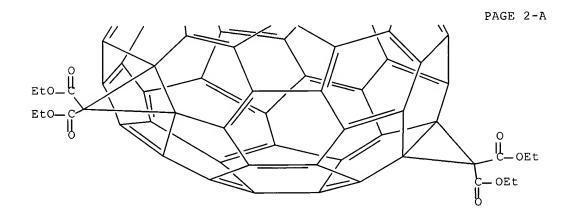
155382-68-6 HCAPLUS RN

3'H, 3''H-Dicyclopropa[1,9:16,17][5,6]fullerene-C60-Ih-3', 3', 3'', 3''-CN tetracarboxylic acid, tetraethyl ester (9CI) (CA INDEX NAME)









REFERENCE COUNT:

17 THERE ARE 17 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L43 ANSWER 15 OF 25 HCAPLUS COPYRIGHT 2008 ACS on STN

ACCESSION NUMBER:

1999:455745 HCAPLUS Full-text

DOCUMENT NUMBER:

131:189995

TITLE:

Effects of hydrophobic-hydrophilic balance and stereochemistry on the supramolecular assembly of

AUTHOR(S):

functionalized fullerenes
Tian, Yongchi; Fendler, Janos H.; Hungerbuhler,

CORPORATE SOURCE:

Hartmut; Guldi, Dirk M.; Asmus, Klaus-Dieter Department of Chemistry, Syracuse University,

Syracuse, NY, 13244-4100, USA

SOURCE:

Materials Science & Engineering, C: Biomimetic and

Supramolecular Systems (1999), C7(1), 67-73

CODEN: MSCEEE; ISSN: 0928-4931

PUBLISHER:

Elsevier Science B.V.

DOCUMENT TYPE: Journal LANGUAGE: English

Mol. assemblies of C60, mono-substituted by Et, C60[C(COOC2H5)2], la; Pr, C60[C(COOC3H7)2], lb; dodecyl, C60[C(COOC12H25)2], lc; and triethyeleneglycol-monomethyl ether, C60[C(COO(CH2CH2O)3CH3)2], ld, esters of malonic acid and three different regioisomeric bis-substituted isomers of C60, equatorial-C60[C(COOC2H5)2]2, 2a; trans3-C60[C(COOC2H5)2]2, 2b; and trans2-C60-[C(COOC2H5)2]2, 2c on water surfaces were investigated by surface pressure vs. surface area isotherms, Brewster-angle microscopy and absorption spectroscopy measurements. For the mono-adducts, true monolayer was demonstrated only from ld while multilayer structures were formed from la, lb and lc upon compression. For the bis adducts, typical monolayer was seen only from 2c; in contrast no monolayers formed from upon spreading 2a and 2b on water, but monolayers and multilayers coexisted. All of the spread C60 derivs. could be transferred onto solid substrates by the Langmuir-Blodgett technique with transfer ratio close to unity.

CC 66-1 (Surface Chemistry and Colloids) Section cross-reference(s): 22

IT 153218-90-7 155382-66-4 155382-69-7

**155382-71-1** 173201-37-1 173201-38-2 173201-39-3

RL: PEP (Physical, engineering or chemical process); PRP (Properties); PROC (Process)

(effects of hydrophobic-hydrophilic balance and stereochem. on the supramol. assembly of functionalized fullerenes)

IT 155382-66-4 155382-69-7 155382-71-1

RL: PEP (Physical, engineering or chemical process); PRP (Properties); PROC (Process)

(effects of hydrophobic-hydrophilic balance and stereochem. on the supramol. assembly of functionalized fullerenes)

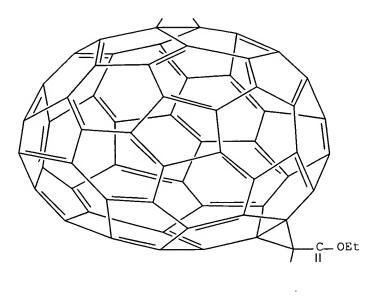
RN 155382-66-4 HCAPLUS

CN 3'H,3''H-Dicyclopropa[1,9:49,59][5,6]fullerene-C60-Ih-3',3',3'',3''-tetracarboxylic acid, tetraethyl ester (9CI) (CA INDEX NAME)

PAGE 1-A

$$\mathsf{EtO} = (\mathsf{C} - \mathsf{OEt})$$

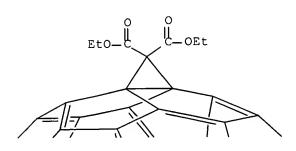
PAGE 2-A



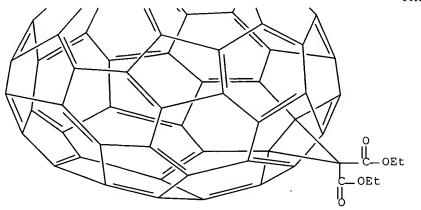
PAGE 3-A

RN 155382-69-7 HCAPLUS
CN 3'H,3''H-Dicyclopropa[1,9:34,35][5,6]fullerene-C60-Ih-3',3',3'',
tetracarboxylic acid, tetraethyl ester (9CI) (CA INDEX NAME)

PAGE 1-A



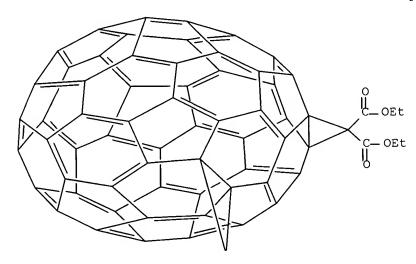
PAGE 2-A



RN 155382-71-1 HCAPLUS

CN 3'H,3''H-Dicyclopropa[1,9:13,14][5,6]fullerene-C60-Ih-3',3',3'',3''-tetracarboxylic acid, tetraethyl ester (9CI) (CA INDEX NAME)

PAGE 1-A



PAGE 2-A

Eto\_C\_\_c\_\_o

REFERENCE COUNT:

THERE ARE 33 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L43 ANSWER 16 OF 25 HCAPLUS COPYRIGHT 2008 ACS on STN ACCESSION NUMBER: 1999:15331 HCAPLUS <u>Full-text</u>

DOCUMENT NUMBER: 130:139001

TITLE: Formation of endohedral fullerene ions in mass

spectrometry collision experiments

AUTHOR(S): Drewello, Th.; Kaseberg, L.; Herzschuh, R.

CORPORATE SOURCE: Department of Chemistry, University of Warwick, UK

SOURCE: Advances in Mass Spectrometry (1998), 14,

A015800/1-A015800/8

CODEN: AMSPAH; ISSN: 0568-000X

PUBLISHER: Elsevier Science B.V.

DOCUMENT TYPE: Journal; (computer optical disk)

LANGUAGE: English

Conference proceedings. The fragmentation pathways of pos. charged ions of fullerene derivs. ionized by EI are subject of this work. Unimol. dissocns. (MIKES) and bimol. dissocns. (CID) under various conditions were carried out with different exohedrally modified fullerenes. The MIKE spectra of 2-[spiro-C60]-malonic esters show some characteristic fragment ions, the structure of which could be elucidated by the use of three different ester groups. In high energy collision expts. the most abundant fragment is C60H+, which was formed by elimination of the ester groups and hydrogen rearrangement. After collisions with He no endohedral mol. ion, but endohedral fragment ions with relatively high intensities could be observed Obviously, the amount of helium atoms penetrating the buckyball surface increases with the degree of derivatization. All measurements were carried out on a hybrid instrument of BEqQ (B = magnet, E = elec. sector, qQ = quadrupole system) geometry.

CC 22-8 (Physical Organic Chemistry)

Section cross-reference(s): 73

TT 7440-59-7, Helium, reactions 134932-61-9, C70 Fullerene ion(1+)
220132-94-5

RL: PEP (Physical, engineering or chemical process); PRP (Properties); RCT (Reactant); PROC (Process); RACT (Reactant or reagent)

(reactant; formation of endohedral fullerene ions in mass spectrometry collision expts.)

IT 220132-94-5

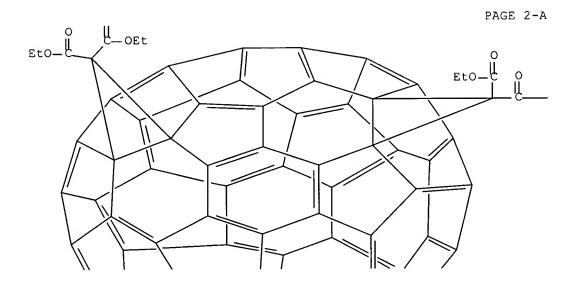
RL: PEP (Physical, engineering or chemical process); PRP (Properties); RCT (Reactant); PROC (Process); RACT (Reactant or reagent)

(reactant; formation of endohedral fullerene ions in mass spectrometry collision expts.)

RN 220132-94-5 HCAPLUS

CN 3'H,3''H-Tricyclopropa[1,9:16,17:21,40][5,6]fullerene-C60-Ih-3',3',3'',3''',3'''-hexacarboxylic acid, hexaethyl ester, radical ion(1+) (9CI) (CA INDEX NAME)

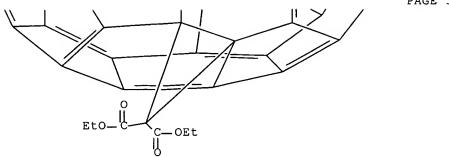
0



PAGE 2-B

\_\_\_OEt





L43 ANSWER 17 OF 25 HCAPLUS COPYRIGHT 2008 ACS on STN ACCESSION NUMBER: 1998:496538 HCAPLUS Full-text

DOCUMENT NUMBER: 129:266960

ORIGINAL REFERENCE NO.: 129:54303a,54306a

TITLE: Redox characteristics of covalent derivatives of the

higher fullerenes C70, C76, and C78

AUTHOR(S): Boudon, Corinne; Gisselbrecht, Jean-Paul; Gross,

Maurice; Herrmann, Andreas; Ruettimann, Markus; Crassous, Jeanne; Cardullo, Francesca; Echegoyen,

Luis; Diederich, Francois

CORPORATE SOURCE: Laboratoire d'Electrochimie et de Chimie Physique du

Corps Solide UMR au CNRS No. 7512 Faculte de Chimie, Universite Louis Pasteur, Strasbourg, F-67008, Fr.

SOURCE: Journal of the American Chemical Society (1998),

120(31), 7860-7868

CODEN: JACSAT; ISSN: 0002-7863

PUBLISHER: American Chemical Society

DOCUMENT TYPE: Journal LANGUAGE: English

The electrochem. properties of covalent derivs. of the higher fullerenes C70, AΒ C76, C2v-C78, and D3-C78, bearing bis(alkoxycarbonyl)methano addends, were investigated by cyclic voltammetry (CV) and/or steady-state voltammetry (SSV). Included in this study were a series of previously prepared mono- to tetrakisadducts of C70, monoadducts of C76, and bis- and trisadducts of C2v-C78 and D3-C78. For all compds., several (up to five) reduction steps and one or two oxidation steps were observed The reduction steps usually required more energy than the corresponding processes in the parent fullerenes whereas the oxidation steps became facilitated with increasing degree of addition A notable exception to this trend was the observation that two C76 monoadducts were more readily reduced than the parent fullerene and one was more difficult to oxidize. The electrochem. properties of C70 derivs. were found to closely resemble those of C60 adducts. Constitutional isomers displayed nearly identical redox characteristics. Only the first reduction process is reversible by CV or SSV in the entire series of C70 adducts whereas the electrochem. generated dianions of some of the derivs. underwent a rapid subsequent chemical reaction. The electrochem. of covalent adducts of C76 and C78 differs substantially from that of the derivs. of the smaller carbon spheres C60 and C70. Among all compds. studied, monoadducts of C76 are the easiest to be reduced or oxidized and their electrochem. generated multiple anions are much more stable under the conditions of the SSV and CV expts. than those of the adducts of C70 and the C78 isomers. Several of the electrochem. generated trisanions of the adducts of C78 isomers underwent rapid chemical

reactions. Indeed, a new bisadduct of C2v-C78, not detected in the regular synthetic mixture, was prepared via electrolysis of a trisadduct. This C2-sym. bisadduct seems to be present as an intermediate during every electrolysis of other bis- and trisadducts of C2v-C78.

CC 72-2 (Electrochemistry)

Section cross-reference(s): 25

685-87-0D, Bromomalonic acid diethyl ester, reaction ΙT products with Fullerene-C78-C2v and Fullerene-C78-D3 139707-95-2D, [5,6] Fullerene-C78-D3, reaction products with di-Et  $\alpha$ -bromomalonate 139707-96-3D, [5,6]Fullerene-C78-C2v, reaction products with di-Et 173202-46-5 173202-47-6 173202-48-7 α-bromomalonate 173202-50-1 **173202-51-2** 173202-56-7 173202-49-8 213388-68-2 184954-53-8 184954-54-9 RL: PEP (Physical, engineering or chemical process); PRP (Properties); RCT (Reactant); PROC (Process); RACT (Reactant or reagent)

(Reactant); PROC (Process); RACT (Reactant or reagent)
(redox characteristics of covalent derivs. of C70, C76, and C78
fullerenes)

IT 173202-49-8 173202-51-2

RL: PEP (Physical, engineering or chemical process); PRP (Properties); RCT
(Reactant); PROC (Process); RACT (Reactant or reagent)
 (redox characteristics of covalent derivs. of C70, C76, and C78
 fullerenes)

RN 173202-49-8 HCAPLUS

CN 3'H,3''H,3'''H-Tetracyclopropa[7,22:33; 34:38,57:46,47][5,6]fullerene-C70-D5h(6)-3',3'',3'',3''',3''',3'''',3''''-octacarboxylic acid, 3'',3'',3''''-tetrakis(2-ethoxy-2-oxoethyl) 3',3',3''',3'''-tetraethyl ester (9CI) (CA INDEX NAME)

\*\*\* STRUCTURE DIAGRAM IS NOT AVAILABLE \*\*\*

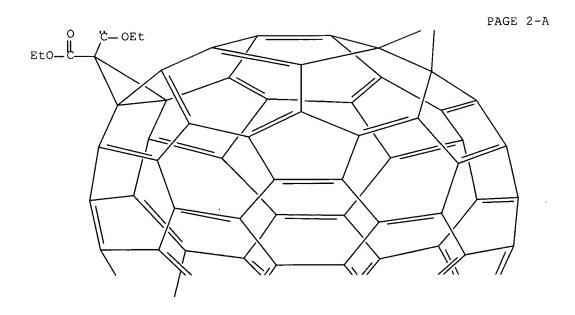
RN 173202-51-2 HCAPLUS

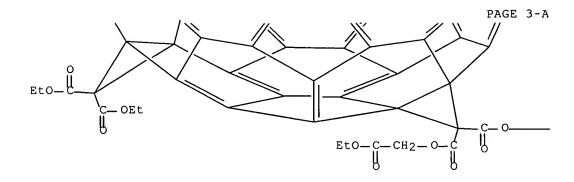
CN 3'H,3''H,3'''H-Tetracyclopropa[7,22:17,18:46,47:53,54][5,6]fulleren e-C70-D5h(6)-3',3',3'',3''',3''',3'''',3'''',3''''-octacarboxylic acid, 3''',3''',3''''-tetrakis(2-ethoxy-2-oxoethyl) 3',3',3'',3''-tetraethyl ester (9CI) (CA INDEX NAME)

PAGE 1-A

C

PAGE 1-B





PAGE 3-B

REFERENCE COUNT: 81 THERE ARE 81 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L43 ANSWER 18 OF 25 HCAPLUS COPYRIGHT 2008 ACS on STN ACCESSION NUMBER: 1997:812177 HCAPLUS Full-text

DOCUMENT NUMBER:

128:70789

ORIGINAL REFERENCE NO.:

128:13691a,13694a

TITLE:

The use of carboxylated buckminsterfullerenes for

treatment of neurotoxic injury

INVENTOR(S):

Choi, Dennis Wonkyu; Dugan, Laura; Linn, Tien-Sung

Tom; Luh, Tien-Yah

PATENT ASSIGNEE(S):

F. Hoffmann-La Roche A.-G., Switz.

SOURCE:

PCT Int. Appl., 26 pp. CODEN: PIXXD2

DOCUMENT TYPE:

Patent

LANGUAGE:

English

FAMILY ACC. NUM. COUNT: 1

PATENT INFORMATION:

PA	rent	NO.			KIN	D	DATE		7	APPL	ICAT	ION !	NO.		Di	ATE	
WO	9746	 227	<b>-</b>		A1	_	 1997	1211	1	WO 1	997-1	EP26	 79		1	9970	526
	W:	AL,	ΑU,	BA,	BB,	BG,	BR,	CA,	CN,	CZ,	EE,	GE,	ΗU,	IL,	IS,	JP,	KΡ,
							MG,										
							YU,										
	RW:	GH,															GB,
							NL,										
				NE,													

		October 24, 2008						
	CA 2255913	A1 19971211	CA 1997-2255913	19970526				
	CA 2255913	C 20070731						
	AU 9731672	A 19980105	AU 1997-31672	19970526				
	AU 720528	B2 20000601						
	EP 904070	A1 19990331	EP 1997-927037	19970526				
	EP 904070	B1 20000315						
	R: AT, BE, CH,	DE, DK, ES, FR, GE	B, GR, IT, LI, LU, NL, S					
	CN 1221340	A 19990630	CN 1997-195219	19970526				
	BR 9709636	A 19990810	BR 1997-9636	19970526				
	AT 190486	T 20000415	AT 1997-927037	19970526				
	ES 2145608	T3 20000701	ES 1997-927037	19970526				
	JP 2000514412	T 20001031	JP 1998-500167	19970526				
	US 6265443	B1 20010724	US 1997-867378	19970602				
	KR 2000016238	A 20000325	KR 1998-709809	19981202				
	GR 3033646	T3 20001031	GR 2000-401333	20000612				
PRIO	RITY APPLN. INFO.:		US 1996-18899P P					
				19970131				
				19970526				
AB	The use of carboxyl	ated buckminsterfu	llerenes in the control	or treatment of				
			ration and neuroprotect:	ive activity of				
	carboxyfullerene C6	50[C(COOH)2]3 are d	lescribed.					
IC								
	ICS A61K031-215							
CC	•							
	Section cross-reference(s): 25, 63							
ΙT								
	RL: SPN (Synthetic )	preparation); PREP	(Preparation)	1				
		ckminsterfullerenes	s for neurotoxic injury	treatment)				
ΙT	155420-08-9P		17					
	RL: SPN (Synthetic preparation); PREP (Preparation)							

(carboxylated buckminsterfullerenes for neurotoxic injury treatment)

3',3'',3''',3'''-hexacarboxylic acid, hexaethyl ester (9CI) (CA

3'H, 3''H-Tricyclopropa[1, 9:16, 17:21, 40][5, 6]fullerene-C60-Ih-

PAGE 1-A

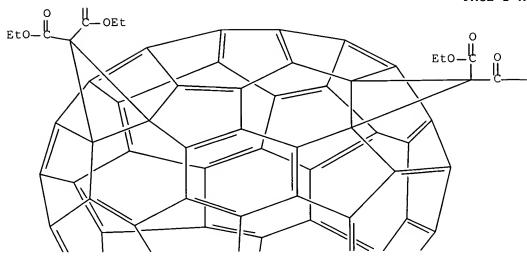
155420-08-9 HCAPLUS

INDEX NAME)

RN

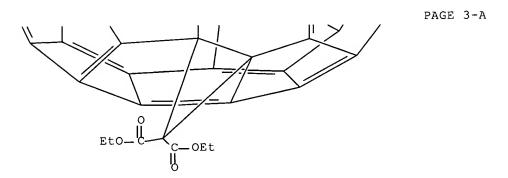
CN

PAGE 2-A



PAGE 2-B

\_\_ OEt



L43 ANSWER 19 OF 25 HCAPLUS COPYRIGHT 2008 ACS on STN ACCESSION NUMBER: 1997:435071 HCAPLUS Full-text

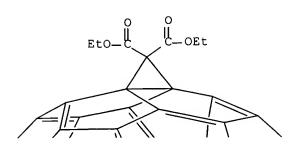
DOCUMENT NUMBER: 127:323790

ORIGINAL REFERENCE NO.: 127:63391a,63394a

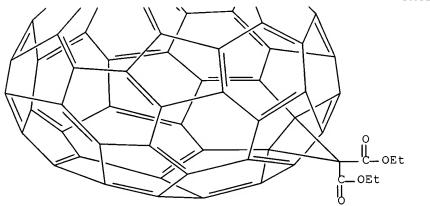
TITLE: The aqueous electrochemistry of C60 and

methanofullerene films

```
AUTHOR(S):
                         Davis, Jason J.; Hill, H. Allen O.; Kurz, Arnd;
                         Leighton, Andrew D.; Safronov, Alex Y.
                         Inorganic Chemistry Laboratory, South Parks Road,
CORPORATE SOURCE:
                         University of Oxford, Oxford, UK
                         Journal of Electroanalytical Chemistry (1997),
SOURCE:
                         429(1-2), 7-11
                         CODEN: JECHES
                         Elsevier
PUBLISHER:
DOCUMENT TYPE:
                         Journal
LANGUAGE:
                         English
     C60 and methanofullerene films give an unexpected electrochem. response in
AΒ
     aqueous solution - sharply different from the, now well characterized,
     responses in acetonitrile. A comparison is made between the film and solution
     responses of carboxylate and Et ester adducts. The large differences observed
     may be accounted for by a specific ordering of the acid functions on the gold
     electrode surface.
     72-2 (Electrochemistry)
CC
     Section cross-reference(s): 25, 66, 78
     ag electrochem fullerene C60 methanofullerene film; mol orientation
ST
     methanofullerene gold electrode; malonic acid fullerene deriv aq
     electrochem; gold electrode aq electrochem fullerene methanofullerene
ΙT
     Electrochemistry
       Films
        (aqueous electrochem. of C60 and methanofullerene films)
     Cyclic voltammetry
IT
        (of C60 and methanofullerene films on gold in aqueous
        tetrabutylammonium bromide solution)
                                 153218-90-7 155382-69-7
     99685-96-8, C60 Fullerene
ΙT
     159717-72-3
                   159717-73-4
     RL: PEP (Physical, engineering or chemical process); PRP (Properties); RCT
     (Reactant); PROC (Process); RACT (Reactant or reagent)
        (aqueous electrochem. of C60 and methanofullerene films on gold)
     7440-57-5, Gold, uses
ΙT
     RL: DEV (Device component use); PEP (Physical, engineering or chemical
     process); PRP (Properties); PROC (Process); USES (Uses)
        (cyclic voltammetry of C60 and methanofullerene films on gold
        in aqueous tetrabutylammonium bromide solution)
     1643-19-2, Tetrabutylammonium bromide
ΙT
     RL: NUU (Other use, unclassified); PRP (Properties); USES (Uses)
        (cyclic voltammetry of C60 and methanofullerene films on gold
        in aqueous tetrabutylammonium bromide solution)
     155382-69-7
ΙT
     RL: PEP (Physical, engineering or chemical process); PRP (Properties); RCT
     (Reactant); PROC (Process); RACT (Reactant or reagent)
        (aqueous electrochem. of C60 and methanofullerene films on gold)
     155382-69-7 HCAPLUS
RN
     3'H, 3''H-Dicyclopropa[1,9:34,35][5,6]fullerene-C60-Ih-3',3',3'',3''-
CN
     tetracarboxylic acid, tetraethyl ester (9CI) (CA INDEX NAME)
```







REFERENCE COUNT: 29 THERE ARE 29 CITED REFERENCES AVAILABLE FOR THIS RECORD. ALL CITATIONS AVAILABLE IN THE RE FORMAT

L43 ANSWER 20 OF 25 HCAPLUS COPYRIGHT 2008 ACS on STN ACCESSION NUMBER: 1997:116469 HCAPLUS Full-text

DOCUMENT NUMBER: 126:131260

ORIGINAL REFERENCE NO.: 126:25360h,25361a

TITLE: Preparation of unsymmetrical, bis adducts of C70

fullerenes

INVENTOR(S):
Bingel, Carsten

PATENT ASSIGNEE(S): Hoechst A.-G., Germany SOURCE: Ger. Offen., 12 pp.

CODEN: GWXXBX

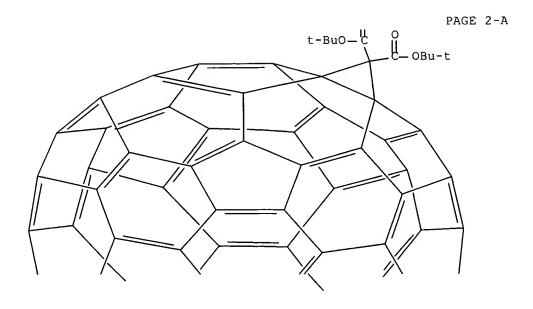
DOCUMENT TYPE: Patent LANGUAGE: German

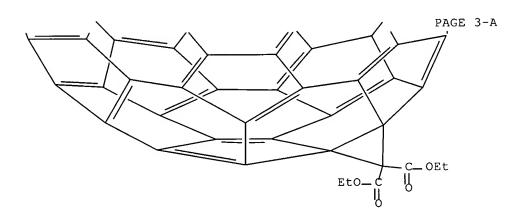
FAMILY ACC. NUM. COUNT: 1

## PATENT INFORMATION:

	PATENT NO.	KIND	DATE	APPLICATION NO.	DATE					
	DE 19521626 WO 9700235 W: CA, JP, US		19961219	DE 1995-19521626 WO 1996-EP2395						
			x, ES, FI, FF	R, GB, GR, IE, IT, LU, R DE 1995-19521626 A						
GI AB	For diagram(s), see The title compds.	printe	ed CA Issue. = fullerene	moiety; E1-E4 = (un)su						
	CHO, CN, (un) substituted organic moiety, etc.], useful as electrooptical materials (no data), are prepared through successive cyclopropanations of a									
	C70 fullerene with $\alpha$ -halo-CH-acid compds. Thus, bis(tert-butoxycarbonyl)methano-(C70)-fullerene was reacted with di-Et bromomalonate, forming, as the major product, fullerene II and, fullerene isomer III.									
IC	ICM C07C069-743 ICS C07C219-24; C07J051-00; C01B031-00; C07D207-46; C07D209-48; C07D213-64; C07D249-18									
ICA	C07J009-00; C07C069	-38								
ICI	C07M009-00			Seed and Berein de Com	· · · · d o \					
CC	25-29 (Benzene, Its Section cross-refer			Condensed Benzenoid Comp	pounds)					
ΙT	170503-91-0P	ence (s)	. 24, 74							
	RL: BYP (Byproduct)	; PREP	(Preparation	n)						
				of C70 fullerenes)						
IT	170503-90-9P									
	RL: SPN (Synthetic									
T m	(preparation of 170503-91-0P	unsym.	bis adducts	of C70 fullerenes)						
ΙT	RL: BYP (Byproduct)	· PREP	(Preparation	۱)						
				of C70 fullerenes)						
RN	170503-91-0 HCAPLU	JS								
CN	3'H,3''H-Dicyclopro	pa[8,25	5:16,35] [5,6]	fullerene-C70-D5h(6)-3	1,31,311,311-					
	tetracarboxylic aci (9CI) (CA INDEX NA		3'-bis(1,1-d:	imethylethyl)-3'',3''-d	ietnyi ester					

PAGE 1-A



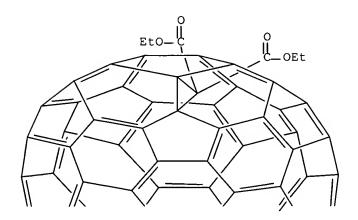


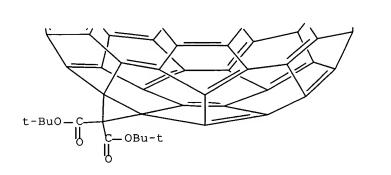
## IT 170503-90-9P

RL: SPN (Synthetic preparation); PREP (Preparation) (preparation of unsym. bis adducts of C70 fullerenes)

RN 170503-90-9 HCAPLUS

CN 3'H,3''H-Dicyclopropa[8,25:33,34][5,6]fullerene-C70-D5h(6)-3',3',3'',3''-tetracarboxylic acid, 3',3'-bis(1,1-dimethylethyl) 3'',3''-diethyl ester (9CI) (CA INDEX NAME)





PAGE 2-A

L43 ANSWER 21 OF 25 HCAPLUS COPYRIGHT 2008 ACS on STN 1996:570740 HCAPLUS Full-text ACCESSION NUMBER:

DOCUMENT NUMBER:

125:309608

ORIGINAL REFERENCE NO.:

125:57758h,57759a

TITLE:

Systematic 3-dimensional approach for the design of stable monolayers and Langmuir Blodgett films of

C60, by means of functionalization

AUTHOR(S):

Guldi, Dirk M.; Asmus, Klaus-Dieter; Tian, Yongchi;

Fendler, Janos H.

CORPORATE SOURCE:

Radiation Lab., Univ. Notre Dame, Notre Dame, IN,

46556, USA

SOURCE:

Proceedings - Electrochemical Society (1996),

96-10 (Recent Advances in the Chemistry and Physics of Fullerenes and Related Materials, Vol. 3), 501-508

CODEN: PESODO; ISSN: 0161-6374

PUBLISHER:

Electrochemical Society

DOCUMENT TYPE:

Journal

LANGUAGE:

English

Functionalization of C60 (at > 1 positions by functional groups of different AB hydrophobicities) is shown to provide a viable control over the supramol. structures formed upon spreading these compds. on H2O surfaces. Stable and high quality films can be formed from all the studied mono-functionalized derivs. (la, lb, lc, and ld), although only ld possesses the appropriate amphiphilic character to form a true monomol. layer. The controlled variation of the stereochem. positioning of 2 functional groups on C60 has significant consequences regarding the coexistence of mono- and multilayer structures. Thus, placement of a 2nd bis(ethoxycarbonyl)methylene group on nearly the opposite site of the fullerene core (2c) is sufficient to prevent strong hydrophobic interactions among the fullerene moieties and to stabilize fullerene monolayers at the air-water interface. Placing the 2nd substituent closer to the position of the 1st eliminates the possibility of H bond formation and thus multilayer formation is observed upon spreading of the equatorial (2a) and trans3 isomers (2b) on H2O surfaces. Formation of a stable and high quality monolayer upon compression ( $\leq$  35 mN/m) was found for tris-functionalized equatorial-C60[C(COOEt)2]3 (3), while compression beyond this pressure resulted in irreversible transformation to rods with porous and oriented structures.

CC 66-1 (Surface Chemistry and Colloids) Section cross-reference(s): 29, 36

ST fullerene C60 functional group monolayer stability; Langmuir Blodgett film fullerene functional group

IT Surface pressure

(-area isotherms; fullerene C60 functional group effects on monolayer stability and Langmuir-Blodgett film preparation)

IT Adsorbed substances

Substituent effect

(fullerene C60 functional group effects on monolayer stability and Langmuir-Blodgett film preparation)

IT Fullerenes

RL: PRP (Properties)

(fullerene functional group effects on monolayer stability and Langmuir-Blodgett film preparation)

IT Films

(Langmuir-Blodgett, fullerene functional group effects on monolayer stability and Langmuir-Blodgett film preparation)

IT 99685-96-8D, [5,6]Fullerene-C60-Ih, derivs. 153218-90-7

155382-66-4 155382-68-6 155382-69-7

**155420-08-9** 173201-37-1 173201-38-2 173201-39-3

RL: PRP (Properties)

(fullerene C60 functional group effects on monolayer stability and Langmuir-Blodgett film preparation)

IT 155382-66-4 155382-68-6 155382-69-7

155420-08-9

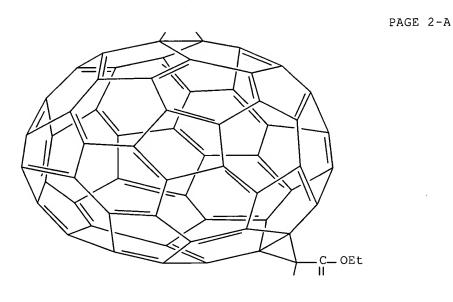
RL: PRP (Properties)

(fullerene C60 functional group effects on monolayer stability and Langmuir-Blodgett film preparation)

RN 155382-66-4 HCAPLUS

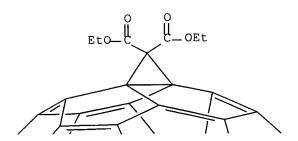
CN 3'H,3''H-Dicyclopropa[1,9:49,59][5,6]fullerene-C60-Ih-3',3',3'',3''-tetracarboxylic acid, tetraethyl ester (9CI) (CA INDEX NAME)

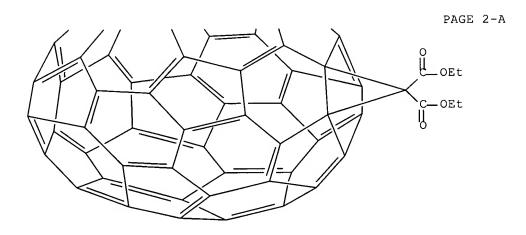
PAGE 1-A



RN 155382-68-6 HCAPLUS

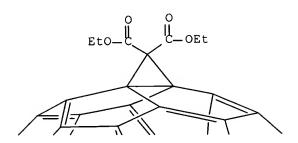
CN 3'H,3''H-Dicyclopropa[1,9:16,17][5,6]fullerene-C60-Ih-3',3',3'',3''-tetracarboxylic acid, tetraethyl ester (9CI) (CA INDEX NAME)



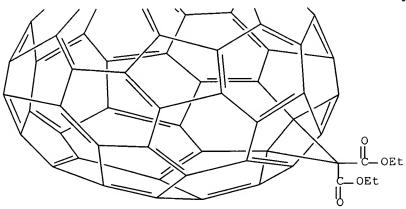


RN 155382-69-7 HCAPLUS

CN 3'H,3''H-Dicyclopropa[1,9:34,35][5,6]fullerene-C60-Ih-3',3',3'',3''-tetracarboxylic acid, tetraethyl ester (9CI) (CA INDEX NAME)



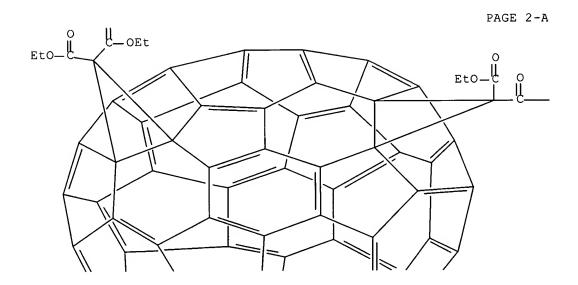
PAGE 2-A



RN 155420-08-9 HCAPLUS

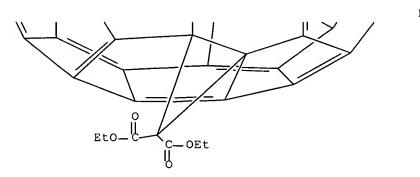
CN 3'H,3''H-Tricyclopropa[1,9:16,17:21,40][5,6]fullerene-C60-Ih-3',3',3'',3''',3'''-hexacarboxylic acid, hexaethyl ester (9CI) (CA INDEX NAME)

ö



PAGE 2-B

\_\_\_ OEt



PAGE 3-A

L43 ANSWER 22 OF 25 HCAPLUS COPYRIGHT 2008 ACS on STN ACCESSION NUMBER: 1996:83176 HCAPLUS <u>Full-text</u>

DOCUMENT NUMBER: 124:186258

ORIGINAL REFERENCE NO.: 124:34275a,34278a

TITLE: Compression-Dependent Structural Changes of

Functionalized Fullerene Monolayers

AUTHOR(S): Guldi, Dirk M.; Tian, Yongchi; Fendler, Janos H.;

Hungerbuehler, Hartmut; Asmus, Klaus-Dieter

CORPORATE SOURCE: Department of Chemistry, Syracuse University,

Syracuse, NY, 13244-4100, USA

SOURCE: Journal of Physical Chemistry (1996), 100(8), 2753-8

CODEN: JPCHAX; ISSN: 0022-3654

PUBLISHER: American Chemical Society

DOCUMENT TYPE: Journal LANGUAGE: English

AB Surface pressure vs. surface area isotherm, Brewster angle microscopic, and atomic force microscopic measurements provided evidence for the formation of a stable monolayer upon the compression, up to 35 mN/m, of hexaethyl-1,2:18,36:22,23-tris(methano[60]fullerene-61,61,62,62,63,63-hexacarboxylate), e,e,e-C60[C(COOEt)2]3, on the water surface in a Langmuir film balance. Compression to higher pressures reorganized the monolayers into bilayers and resulted ultimately in the irreversible transformation to rods (1 μm diameter and up to 100 μm long) with porous and oriented structures.

CC 66-1 (Surface Chemistry and Colloids)

IT 155420-08-9

RL: PEP (Physical, engineering or chemical process); PROC (Process) (compression-dependent structural changes of functionalized fullerene monolayers)

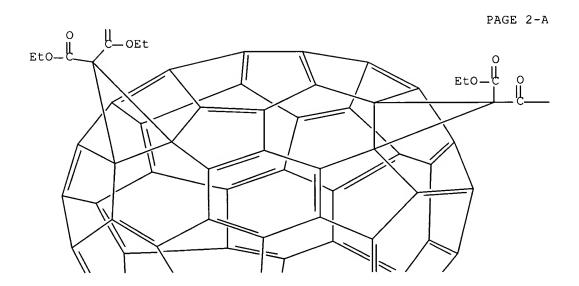
IT 155420-08-9

RL: PEP (Physical, engineering or chemical process); PROC (Process) (compression-dependent structural changes of functionalized fullerene monolayers)

RN 155420-08-9 HCAPLUS

CN 3'H,3''H-Tricyclopropa[1,9:16,17:21,40][5,6]fullerene-C60-Ih-3',3'',3'',3'''-hexacarboxylic acid, hexaethyl ester (9CI) (CA INDEX NAME)

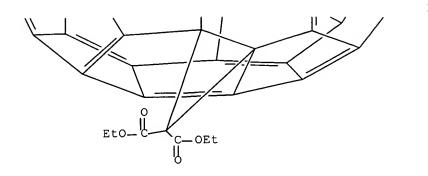
0



PAGE 2-B

\_\_OEt

PAGE 3-A



L43 ANSWER 23 OF 25 HCAPLUS COPYRIGHT 2008 ACS on STN

ACCESSION NUMBER:

1995:991488 HCAPLUS Full-text

DOCUMENT NUMBER:

124:131108

ORIGINAL REFERENCE NO.:

124:24119a

TITLE:

Redox and excitation studies with C60 substituted

malonic acid diethyl esters

AUTHOR(S):

Guldi, Dirk M.; Hungerbuehler, Hartmut; Asmus,

Klaus-Dieter

CORPORATE SOURCE:

Hahn-Meitner-Inst. Berlin GmbH, Bereich Physikalische

Chemie, Berlin, 14109, Germany

SOURCE:

Proceedings - Electrochemical Society (1995),

95-10(Proceedings of the Symposium on Recent Advances in the Chemistry and Physics of Fullerenes and Related

Materials, 1995), 449-56

CODEN: PESODO; ISSN: 0161-6374

PUBLISHER:

Electrochemical Society

DOCUMENT TYPE:

Journal LANGUAGE: English

Physicochem. properties, such as excited triplet states, reductive quenching ΔR by diazabicyclooctane, pulse radiolytic-induced formation of  $\pi$ -radical anions, transient absorption spectra, and electrochem. reduction, sensitively reflect the site and degree of functionalization of C60. The studied fullerenes were obtained via single, double, and triple cyclopropylation of C60 with bromomalonic acid di-Et ester. A strong blue shift for the excited triplet state of almost 100 nm, relative to plain C60, can be rationalized in terms of gradual destruction of the fullerenes  $\pi$ -system with increasing number of bis (ethoxycarbonyl) methylene groups. This coincides with a slow-down of the rate for reductive quenching of the excited triplet states by DABCO which amts. to nearly three orders of magnitude for 3(equatorial-C60[C(COOEt)2]3) of as compared with 3C60. An almost linear relation is observed between the energy of the characteristic  $\pi$ -radical anion absorption band in the near IR and the number of bis(ethoxycarbonyl)methylene groups attached to the fullerene. These absorptions range from 1080 nm to 1015 nm for C60- and equatorial-(C60•-)[C(COOEt)2]3, resp. A corresponding trend emerges from cyclic voltammetry measurements on the redox potentials, showing a difference of 330 mV between reduction of C60 and equatorial-C60[C(COOEt)2]3.

74-1 (Radiation Chemistry, Photochemistry, and Photographic and Other CC Reprographic Processes)

Section cross-reference(s): 72

153218-90-7 155382-66-4 155382-68-6 TΤ 155382-69-7 155382-71-1 155420-08-9

RL: PEP (Physical, engineering or chemical process); PRP (Properties); RCT

(Reactant); PROC (Process); RACT (Reactant or reagent)
 (photolytic formation of excited triplet states and their reductive
 quenching with DABCO)

IT 155382-66-4 155382-68-6 155382-69-7

155382-71-1 155420-08-9

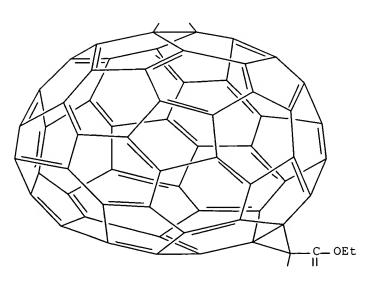
RL: PEP (Physical, engineering or chemical process); PRP (Properties); RCT (Reactant); PROC (Process); RACT (Reactant or reagent)

(photolytic formation of excited triplet states and their reductive quenching with DABCO)

RN 155382-66-4 HCAPLUS

CN 3'H,3''H-Dicyclopropa[1,9:49,59][5,6]fullerene-C60-Ih-3',3',3'',3''-tetracarboxylic acid, tetraethyl ester (9CI) (CA INDEX NAME)

PAGE 1-A

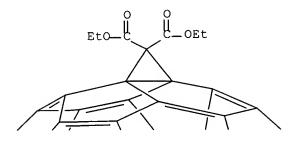


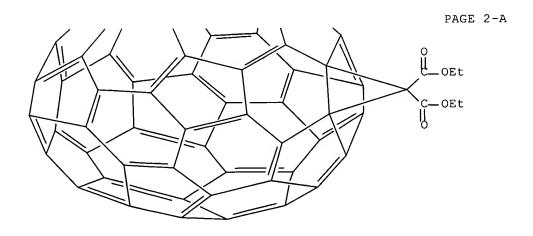
PAGE 2-A

RN 155382-68-6 HCAPLUS

CN 3'H,3''H-Dicyclopropa[1,9:16,17][5,6]fullerene-C60-Ih-3',3',3'',3''-tetracarboxylic acid, tetraethyl ester (9CI) (CA INDEX NAME)

PAGE 1-A

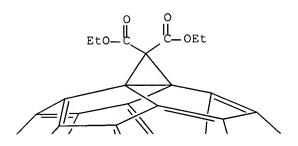




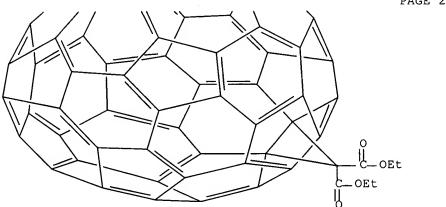
RN 155382-69-7 HCAPLUS

CN 3'H,3''H-Dicyclopropa[1,9:34,35][5,6]fullerene-C60-Ih-3',3',3'',3''-tetracarboxylic acid, tetraethyl ester (9CI) (CA INDEX NAME)

PAGE 1-A



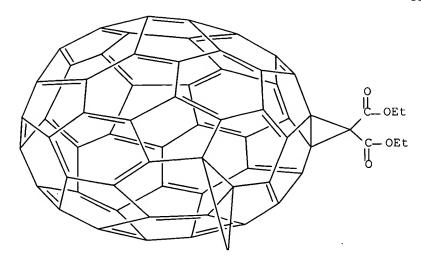
PAGE 2-A



RN 155382-71-1 HCAPLUS

CN 3'H,3''H-Dicyclopropa[1,9:13,14][5,6]fullerene-C60-Ih-3',3',3'',3''-tetracarboxylic acid, tetraethyl ester (9CI) (CA INDEX NAME)

PAGE 1-A

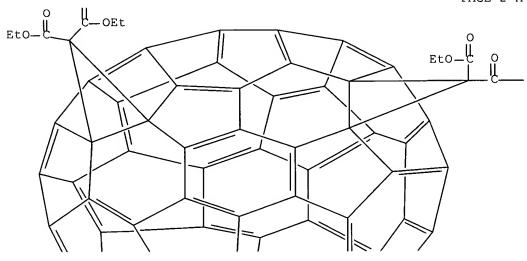


RN 155420-08-9 HCAPLUS CN 3'H,3''H-Tricyclopropa[1,9:16,17:21,40][5,6]fullerene-C60-Ih-3',3',3'',3''',3'''-hexacarboxylic acid, hexaethyl ester (9CI) (CA

PAGE 1-A

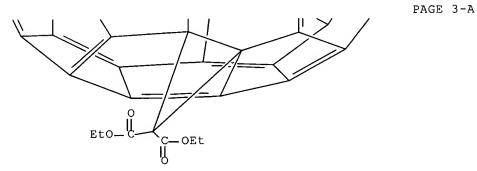
INDEX NAME)

PAGE 2-A



PAGE 2-B

\_\_OEt



L43 ANSWER 24 OF 25 HCAPLUS COPYRIGHT 2008 ACS on STN

ACCESSION NUMBER: 1995:937247 HCAPLUS Full-text

DOCUMENT NUMBER: 124:145585

ORIGINAL REFERENCE NO.: 124:27069a,27072a

TITLE: Multiple cyclopropanations of C70. Synthesis and

characterization of bis-, tris-, and tetrakis-adducts and chiroptical properties of bis-adducts with chiral

addends, including a recommendation for the

configurational description of fullerene derivatives

with a chiral addition pattern

AUTHOR(S): Herrmann, Andreas; Ruettimann, Markus; Thilgen, Carlo;

Diederich, Francois

CORPORATE SOURCE: Laboratorium Organische Chemie, ETH-Zentrum, Zurich,

The regioselectivity of multiple cyclopropanations of C70 with 2-

CH-8092, Switz.

SOURCE: Helvetica Chimica Acta (1995), 78(7), 1673-704

CODEN: HCACAV; ISSN: 0018-019X

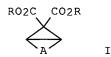
PUBLISHER: Verlag Helvetica Chimica Acta

DOCUMENT TYPE: Journal LANGUAGE: English

OTHER SOURCE(S): CASREACT 124:145585

GΙ

AΒ



of C60.

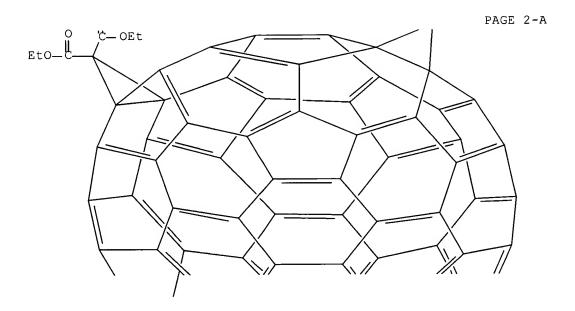
bromopropanedioates in the presence of DBU as base (Bingel reaction) was investigated in a systematic study. Bis-adduct formation occurred preferentially at the 6-6 bonds formed by the most pyramidalized sp2-C atoms at the 2 opposite poles of the fullerene and yielded 3 constitutionally isomeric bis(methano)fullerenes of type I (A = fullerene C70 residue; R = CH2CO2Et; II) in the reaction with achiral bis[(ethoxycarbonyl)methyl] 2bromopropanedioate. Two of them with C2-symmetry were chiral, a fact which had not been considered in previous investigations. Formation of a third, C2v-sym. isomer was observed for the 1st time. Configurational descriptions for fullerene derivs. which possess a chiral chromophore as a result of specific functionalization patterns are proposed. Cyclopropanations of C70 with optically active bis[(S)-1-phenylbutyl] 2-bromopropanedioate yielded 5 optically active, C2-sym. bis-adducts of type I [R = (S)-CHPrPh] which were separated by preparative HPLC and fully characterized. Four of them represent 2 constitutionally isomeric pairs of diastereoisomers, and their CD spectra showed pronounced Cotton effects mainly due to strong chiroptical contributions from the chirally functionalized fullerene chromophores. Since the addition patterns on the fullerene surface in each pair of diastereoisomers had an enantiomeric relationship, their CD spectra closely resembled those expected for 2 enantiomers. In the 3rd constitutional isomer, the addition pattern on the fullerene surface was C2v-sym., and optical activity only results from the chiral addends. Its CD spectrum showed weak Cotton effects mainly from induced CD originating from the perturbation of the

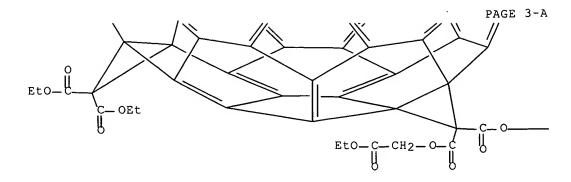
achiral fullerene chromophore by the attached chiral addends. Addition of di-Et 2-bromopropanedicate (2 equivalent) to the C2-sym. racemic bis-adduct of type II yielded a mixture of tris-adducts and 1 major, C2-sym. tetrakis-adduct which was isolated. Starting from the achiral C2v-sym. bis-adduct of type II, 1 single Cs-sym. tris- and 1 C2v-sym. tetrakis-adduct were obtained as major products which were isolated and fully characterized. The regionselectivity for introduction of a 2nd addend in the same hemisphere of C70 is high and resembles the preferred pattern of bis-addition seen in the functionalization

```
CC
     25-29 (Benzene, Its Derivatives, and Condensed Benzenoid Compounds)
IT
     685-87-0, Bromomalonic acid diethyl ester 1663-67-8,
                              22135-49-5, (S)-1-Phenyl-1-butanol
     Propanedicyl dichloride
     115383-22-7, [5,6]Fullerene-C70-D5h(6)
                                             173202-55-6
     RL: RCT (Reactant); RACT (Reactant or reagent)
        (for preparation of multi-cyclopropanated C70 fullerenes)
     173202-50-1P 173202-51-2P 173202-52-3P
                                               173202-53-4P
ΙT
                   173326-16-4P 173326-17-5P
     173202-54-5P
     RL: PRP (Properties); SPN (Synthetic preparation); PREP (Preparation)
        (preparation and CD spectra of multi-cyclopropanated C70 fullerenes)
     173202-46-5P 173202-49-8P
                               173202-57-8P
                                               173202-58-9P
ΙT
     RL: SPN (Synthetic preparation); PREP (Preparation)
        (preparation of multi-cyclopropanated C70 fullerenes)
IT
     173202-51-2P
     RL: PRP (Properties); SPN (Synthetic preparation); PREP (Preparation)
        (preparation and CD spectra of multi-cyclopropanated C70 fullerenes)
     173202-51-2 HCAPLUS
RN
     3'H, 3''H, 3'''H, 3'''H-Tetracyclopropa[7,22:17,18:46,47:53,54][5,6]fulleren
CN
     e-C70-D5h(6)-3',3',3'',3''',3''',3'''',3'''',0ctacarboxylic acid,
     3''', 3'''', 3''''-tetrakis(2-ethoxy-2-oxoethyl)
     3',3',3'',3''-tetraethyl ester (9CI) (CA INDEX NAME)
```

PAGE 1-A

PAGE 1-B





PAGE 3-B

173202-49-8P ΙT

> RL: SPN (Synthetic preparation); PREP (Preparation) (preparation of multi-cyclopropanated C70 fullerenes)

RN 173202-49-8 HCAPLUS

3'H, 3''H, 3'''H, 3''''H-Tetracyclopropa[7, 22:33; CN 34:38,57:46,47][5,6]fullerene-C70-D5h(6)-3',3',3'',3''',3'''',3'''',3''''-octacarboxylic acid, 3'', 3'', 3'''', 3''''-tetrakis(2-ethoxy-2-oxoethyl) 3',3',3''',3'''-tetraethyl ester (9CI) (CA INDEX NAME)

## \*\*\* STRUCTURE DIAGRAM IS NOT AVAILABLE \*\*\*

L43 ANSWER 25 OF 25 HCAPLUS COPYRIGHT 2008 ACS on STN ACCESSION NUMBER: 1995:579070 HCAPLUS Full-text

DOCUMENT NUMBER: 123:212822

123:37649a,37652a ORIGINAL REFERENCE NO.:

Redox and Excitation Studies with C60-Substituted TITLE:

Malonic Acid Diethyl Esters

Guldi, Dirk M.; Hungerbuehler, Hartmut; Asmus, AUTHOR(S):

Klaus-Dieter

Bereich Physikalische Chemie, Hahn-Meitner-Institut CORPORATE SOURCE:

Berlin, Berlin, 14109, Germany

Journal of Physical Chemistry (1995), 99(23), 9380-5 SOURCE:

CODEN: JPCHAX; ISSN: 0022-3654

American Chemical Society PUBLISHER:

Journal DOCUMENT TYPE: English LANGUAGE:

Flash photolytic formation of excited triplet states, their consecutive AΒ reductive quenching with diazabicyclooctane (DABCO), and pulse radiolytic formation of  $\pi$ -radical anions of various C60-derivs. have been recorded. The fullerenes were functionalized via single, double, and triple cyclopropylation of C60 with bromomalonic acid di-Et ester. Flash photolytic irradiation at 308 exhibited a strong blue shift of  $\lambda$ max by nearly 100 nm as compared to plain 3C60. This is rationalized in terms of a gradual destruction of the fullerene's  $\pi$ -system with an increasing number of bis(ethoxycarbonyl)methylene groups. The blue shift coincides with a significant slow down for the rate of reductive quenching of the excited triplet states by DABCO, i.e.,  $1.3 \times 106 \text{ M}-$ 1 s-1 vs 2.5  $\times$  109 M-1 s-1 for the quenching of 3(equatorial-C60[C(COOEt)2]3) and 3C60, resp. The radical-induced reduction of functionalized C60 has been studied in a toluene/acetone/2-propanol mixture by means of time-resolved pulse radiolysis with measurements being conducted in the characteristic near-IR region. An almost linear dependence is obtained between the energy of the most significant IR- $\pi$ -radical anion band vs. the number of bis(ethoxycarbonyl)methylene groups at the fullerene core, with the resp.  $\lambda$ max ranging from 1080 nm for C60•- to 1015 nm for equatorial-(C60•-)[C(COOEt)2]3. A corresponding trend emerges from cyclic voltammetry measurements on the redox potential in toluene/2-propanol. They show a difference of 30 mV between the formation of C60•- (E1/2 = -0.55 V vs SCE) and the first reduction of equatorial-C60[C(COOEt)2]3 (E1/2 = -0.86 V vs SCE). It appears that all these physicochem. parameters very sensitively reflect the site and degree of functionalization of C60.

CC 74-1 (Radiation Chemistry, Photochemistry, and Photographic and Other Reprographic Processes)

IT 150304-28-2 153218-90-7 **155382-66-4 155382-68-6** 

155382-69-7 155382-71-1 155420-08-9

RL: PEP (Physical, engineering or chemical process); PRP (Properties); RCT (Reactant); PROC (Process); RACT (Reactant or reagent)

(photolytic formation of excited triplet states and their reductive quenching with diazabicyclooctane)

IT 155382-66-4 155382-68-6 155382-69-7

155382-71-1 155420-08-9

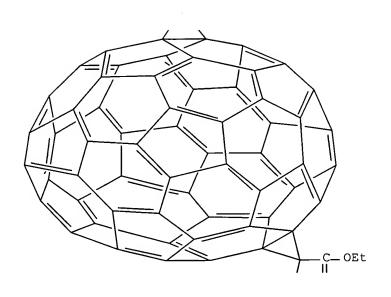
RL: PEP (Physical, engineering or chemical process); PRP (Properties); RCT (Reactant); PROC (Process); RACT (Reactant or reagent)

(photolytic formation of excited triplet states and their reductive quenching with diazabicyclooctane)

RN 155382-66-4 HCAPLUS

CN 3'H,3''H-Dicyclopropa[1,9:49,59][5,6]fullerene-C60-Ih-3',3',3'',3''-tetracarboxylic acid, tetraethyl ester (9CI) (CA INDEX NAME)

PAGE 1-A



PAGE 3-A

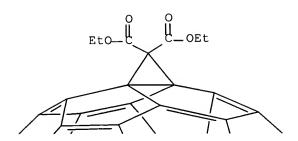
155382-68-6 HCAPLUS

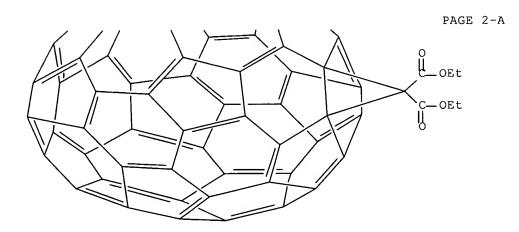
RN

CN

3'H,3''H-Dicyclopropa[1,9:16,17][5,6]fullerene-C60-Ih-3',3',3'',3''-tetracarboxylic acid, tetraethyl ester (9CI) (CA INDEX NAME)

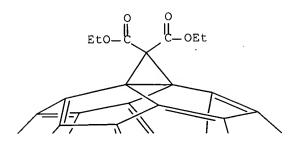
PAGE 1-A



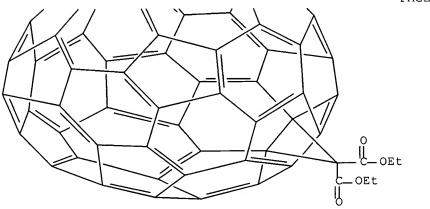


RN 155382-69-7 HCAPLUS
CN 3'H,3''H-Dicyclopropa[1,9:34,35][5,6]fullerene-C60-Ih-3',3',3'',3''-tetracarboxylic acid, tetraethyl ester (9CI) (CA INDEX NAME)

PAGE 1-A



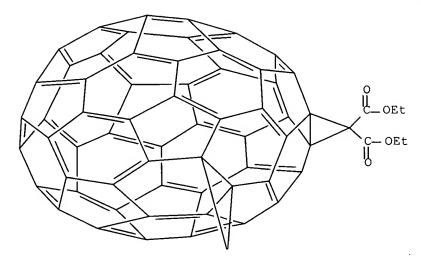
PAGE 2-A



RN 155382-71-1 HCAPLUS

CN 3'H,3''H-Dicyclopropa[1,9:13,14][5,6]fullerene-C60-Ih-3',3',3'',3''-tetracarboxylic acid, tetraethyl ester (9CI) (CA INDEX NAME)

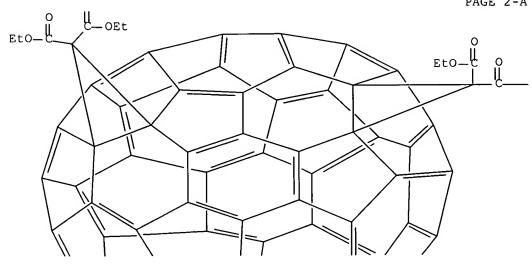
PAGE 1-A



RN 155420-08-9 HCAPLUS
CN 3'H,3''H,3'''H-Tricyclopropa[1,9:16,17:21,40][5,6]fullerene-C60-Ih-3',3'',3'',3'''-hexacarboxylic acid, hexaethyl ester (9CI) (CA INDEX NAME)

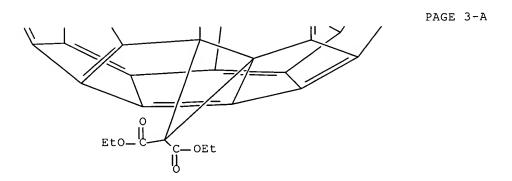
PAGE 1-A

PAGE 2-A



PAGE 2-B

\_\_\_OEt



=> d his nofil

(FILE 'HOME' ENTERED AT 14:11:46 ON 24 OCT 2008)

```
FILE 'REGISTRY' ENTERED AT 14:11:51 ON 24 OCT 2008
L1
                STR
             15 SEA SSS SAM L1
L2
L*** DEL
               STR L1
                STR L1
              4 SEA SSS SAM L1 AND L3
L4
                D SCA
                STR L3
              4 SEA SSS SAM L5 AND L1
L6
L7
            179 SEA SSS FUL L5 AND L1
     FILE 'CAPLUS' ENTERED AT 14:16:47 ON 24 OCT 2008
            129 SEA ABB=ON PLU=ON L7
^{\text{L8}}
              2 SEA ABB=ON PLU=ON US200!-589382/APPS
L9
                D SCA TI
                SEL RN L9
     FILE 'REGISTRY' ENTERED AT 14:17:47 ON 24 OCT 2008
             12 SEA ABB=ON PLU=ON (109-92-2/BI OR 1116-76-3/BI OR 144317-44-2
L10
                /BI OR 357164-86-4/BI OR 66003-78-9/BI OR 722495-59-2/BI OR
                72317-19-2/BI OR 862714-07-6/BI OR 862714-08-7/BI OR 862714-09-
                8/BI OR 862714-10-1/BI OR 862714-11-2/BI)
                D SCA
              O SEA ABB=ON PLU=ON L10 AND L7
L11
                E FULLERENE/CN
              2 SEA ABB=ON PLU=ON FULLERENE/CN
L12
                D SCA
                D
                D 2
     FILE 'REGISTRY' ENTERED AT 14:20:26 ON 24 OCT 2008
               STR 99685-96-8
L13
             50 SEA FAM SAM L13
L14
L15
                STR
              O SEA SSS SAM L15 AND L13
L16
              5 SEA SSS FUL L15 AND L13
L17
                D SCA
              5 SEA ABB=ON PLU=ON L10 AND L17
L18
              7 SEA ABB=ON PLU=ON L10 NOT L17
L19
                D SCA
     FILE 'CAPLUS' ENTERED AT 14:22:41 ON 24 OCT 2008
            1 SEA ABB=ON PLU=ON L17
L20
            130 SEA ABB=ON PLU=ON L20 OR L8
L21
     FILE 'REGISTRY' ENTERED AT 14:23:14 ON 24 OCT 2008
     FILE 'CAPLUS' ENTERED AT 14:23:17 ON 24 OCT 2008
                D SCA L9
                E PHOTORESISTS+ALL/CT
     FILE 'HCAPLUS' ENTERED AT 14:24:02 ON 24 OCT 2008
          48167 SEA ABB=ON PLU=ON PHOTORESISTS+PFT, NT/CT
L22
          68681 SEA ABB=ON PLU=ON L22 OR ?PHOTORESIST?
1 SEA ABB=ON PLU=ON L9 AND L23
L23
L24
                SEL RN
     FILE 'REGISTRY' ENTERED AT 14:24:28 ON 24 OCT 2008
           12 SEA ABB=ON PLU=ON (109-92-2/BI OR 1116-76-3/BI OR 144317-44-2
L25
```

/BI OR 357164-86-4/BI OR 66003-78-9/BI OR 722495-59-2/BI OR

## 10/589,382

72317-19-2/BI OR 862714-07-6/BI OR 862714-08-7/BI OR 862714-09-8/BI OR 862714-10-1/BI OR 862714-11-2/BI)

L26 L27		1	SEA	ENTERED ABB=ON ABB=ON	PLU=ON	L21	
							ON 24 OCT 2008
L28		7	SEA	ABB=ON	PLU=ON	L25	NOT (L7 OR L17)
	FILE	'HCAPI	LUS'	ENTERED	AT 14:27	7:05	ON 24 OCT 2008
L29		1	SEA	ABB=ON	PLU=ON	L27	AND L28
	FILE	'CAPLUS' ENTERED AT 14:28:30 ON 24 OCT 2008					
							ON 24 OCT 2008
							LONIC?(3A)?ESTER? AND L27
L31							OR L29 OR L30
							AND ?RESIST?
L33				ABB=ON			
L34							AND (?RESIN? OR ?FILM?)
L35				ABB=ON			
							AND P/DT
L37				ABB=ON			
L38				ABB=ON			OR L36
L39				ABB=ON			
L40				ABB=ON			
L41							AND PY<2005
							AND (?RESIST? OR ?RESIN? OR ?FILM?)
L43		25	SEA	ABB=ON	PLU=ON	L39	OR L42
				ENTERED	AT 14:52	2:34	ON 24 OCT 2008

FILE 'HCAPLUS' ENTERED AT 14:52:34 ON 24 OCT 2008

D QUE L43

D L43 IBIB ABS HITIND HITSTR TOT